

# Appendices

## Chapter 7: Responses: Technologies and practices

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## 2213 **Appendix 7A: Technical options to control the nitrogen cascade in California**

### 2214 **agriculture**

2215

#### 2216 **A7.0 Introduction**

2217 This appendix describes the scientific basis, capacity, and applicability of management practices and  
2218 technologies used to manage nitrogen (N) in California agriculture<sup>1</sup>. Countless methods have been  
2219 developed to this end; and the discussion here is not intended to be an exhaustive review. Instead, we  
2220 direct attention toward N management approaches that have one or more of the following  
2221 characteristics: are commonly used, have high potential to mitigate N effects, are receiving some  
2222 research attention but have uncertain effects, have the potential for unintended consequences by  
2223 transferring N from one medium to another, or were of particular interest to various stakeholder groups  
2224 (Box A7.1). Additional information on N management in agriculture and the mechanisms to manage N  
2225 from other drivers (e.g., industry) can be found in the resources listed in Table A7.1.

2226 [\[Box A7.1\]](#) [\[Table A7.1\]](#)

2227

#### 2228 **A7.1 The nitrogen cycle**

2229 Understanding the potential efficacy of changing management on regulating the N cycle requires  
2230 knowledge of N cycling processes. Through management, producers modify the quantity of reactive N  
2231 available and conditions of the soil environment. By changing the substrate quantity and soil biological,  
2232 chemical, and physical properties, they alter the tendency for and pace of microbial N transformations,  
2233 plant uptake, chemical conversions, and emissions. It is the ability to impact these processes that create

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<sup>1</sup>Engineering technologies used to control N emissions due to fuel combustion and waste management are transferable, well established, and covered in depth in other texts. Therefore, the discussion here focuses solely on agricultural nitrogen management.

2234 opportunities to control the N cascade<sup>2</sup>. Descriptions of the forms of N and the major process of the N  
2235 cycle can be found in Table A7.2

2236 [\[Table A7.2\]](#)

2237 Actions to regulate N dynamics affect the amount of reactive N in the environment through one  
2238 of six mechanisms: conservation, substitution, transformation, source limitation, removal, or improved  
2239 efficiency (INC 2011). Examples are constructing wetlands to intercept  $\text{NO}_3^-$  in runoff (removal), use of  
2240 nitrification inhibitors to retard conversion of  $\text{NH}_4$  to  $\text{NO}_3^-$  (transformation), and improving distribution  
2241 uniformity to increase the efficiency of irrigation (improved efficiency). Applicability of each strategy is  
2242 subject to the constraints of the production environment (Table A7.3). Often there are multiple  
2243 approaches available to modify N for a given combination of flow and production environment, with the  
2244 best strategy emerging from the confluence of a litany of factors including, but not limited to:  
2245 availability of technology costs, effectiveness, crop or animal species of interest, soil, irrigation system,  
2246 regulations, climate, labor, and the market. The most appropriate response, therefore, is to select  
2247 options to optimize among technological capacity, social-private and public goods, and environmental  
2248 outcomes, subject to the context of the farming activity.

2249 [\[Table A7.3\]](#)

2250

## 2251 **A7.2 Inorganic nitrogen management**

2252 Nitrogen management refers to four, not mutually exclusive, decisions regarding the rate, source,  
2253 timing, and placement of fertilizing materials. The canonical objective of N management, regardless of  
2254 whether inorganic or organic, is to match the availability and supply of N with crop demand as closely as

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<sup>2</sup> For a description of the N cascade, see introduction of Chapter 7.

2255 possible<sup>3</sup> (Cassman et al. 2003; Ladha et al. 2005). Synchronizing supply and demand results in high  
2256 fertilizer use efficiency and decreases pollution potential (Dobermann 2005). In practice, however, plant  
2257 availability of inorganic N, assimilation by roots, and gaseous and water-borne emissions are a function  
2258 of a multitude of biological and chemical processes whose rates vary across space (fields, farms, and  
2259 landscapes) and time (days, months, years) and are subject to a series of constraints ranging from  
2260 climate to cultivars to cultural practices. A grower is, thus, faced with balancing complex and variable  
2261 relationships between biology and technology. The challenge of managing these complex relationships  
2262 underlies the efficiency, and inefficiency, of N fertilizer use in California.

2263

#### 2264 ***A7.2.1 Reduce nitrogen application rates<sup>4</sup>***

2265 Crop production in California requires the addition of N fertilizer to supplement indigenous soil reserves.  
2266 Simply put, applying N fertilizer to the soil turbo charges the N cycle. Microbial activity increases and the  
2267 many N transformations they mediate accelerate. Amplification of the biological processes plus the  
2268 comparatively greater magnitude of N in the system following fertilizer application catalyzes plant  
2269 growth but is also responsible for additional emissions risk. It is well established that yields increase  
2270 along with N application rates until a threshold is reached where N no longer limits production; at which  
2271 point, productivity plateaus or even declines (Cassman et al. 2002). Constraints and realities of  
2272 farming—technology, information, economics, and weather—require crop producers to supply more N  
2273 than a crop assimilates to ensure adequate nutrition and high yield. However, growers often apply N in  
2274 quantities beyond the rate of N uptake. Because of the surplus N use, reducing the rate of application is  
2275 an often-cited option to control emissions without compromising yield.

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<sup>3</sup> It is important to understand that it is practically impossible to perfectly match soil N supply with plant demand. Growers must add more fertilizer N than the plant takes up to maintain high levels of productivity.

<sup>4</sup> The quantity of fertilizer used is called the “application rate” or “rate”, for short.

2276 Reducing N application rates limits the introduction of new N into the system and should  
2277 decrease  $\text{NO}_3^-$  leaching and gaseous emissions of nitrogenous compounds. The relationship between  
2278 emissions and N rate is typically inverse to that of productivity and N rate. Research on  $\text{N}_2\text{O}$  and  $\text{NO}_3^-$   
2279 losses suggests emissions remain low, only slightly elevated above background levels, until a threshold is  
2280 reached, near the season maximum amount of N taken up. After the N rate threshold is exceeded,  
2281 pollution increases exponentially (Venterea et al. 2011; van Groenigen et al. 2010; Millar et al. 2010;  
2282 Hoben et al. 2011). According to a meta-analysis of 18 studies, once N application rates exceed 11 kg per  
2283 ha greater than plant uptake,  $\text{N}_2\text{O}$  emissions increase exponentially for marginal additions of N fertilizer  
2284 (van Groeningen et al. 2010). Similar relationships have been suggested for leaching and N fertilizer  
2285 applications (Broadbent and Carlton 1978). What this research suggests is that incremental reductions in  
2286 N applied may have multiplicative effects on emissions, assuming N additions exceed plant uptake in the  
2287 cropping system. Although the precise inflection point will be determined by edaphic soil, crop, and  
2288 management factors including; irrigation efficiency, carbon (C) availability, timing and placement of  
2289 fertilizer applications, identifying a threshold provides a metric for growers and custom fertilizer  
2290 applicators to target.

2291 Changes in N application rates have the potential to decrease yields. Lower productivity may  
2292 result from either insufficient quantities of N throughout the year as might occur during ideal growing  
2293 conditions or if N is unavailable during critical phenological periods. Part of the reason growers apply N  
2294 at current rates is to hedge against such risks (the “insurance” hypothesis). Nevertheless, widespread  
2295 over-fertilization has been documented in some California crops (Breschini and Hartz 2002; Hartz et al.  
2296 2000; Johnstone et al. 2005). Under these conditions, N applications could be reduced without  
2297 jeopardizing productivity or economic solvency. For example, Hartz et al. (2007) surveyed 78 fields of  
2298 iceberg and romaine lettuce and found the average N application rate was 184 kg per ha but ranged  
2299 between 30 to 440 kg per ha. Current University of California (UC) guidelines suggest an application rate

2300 between 196 to 240 kg per ha is sufficient for these crops under most production conditions (Chapter 3).  
2301 Even though the N rate varied by more than 300 kg per ha, yields were not correlated with N rate  
2302 suggesting misapplication on many sites. Less is known about the potential for over application of  
2303 fertilizer N in perennial and field crops. One of the only recent surveys of N management practices in  
2304 perennials did not ask about common N rates in nut crops (Lopus et al. 2010). Hartley and van Kessel  
2305 (2003) document N rates in rice production. According to their survey, average application rates are  
2306 within the range of guidelines. Overall, average producers of 5 of 12 vegetable crops and 4 of 12  
2307 perennial crops, but 0 of 5 field crops apply more N than the maximum rate suggested in the UC  
2308 guidelines suggesting there may be opportunities for reducing fertilizer N rate on many crops (Appendix  
2309 3.2 and 3.3). Clearly some crops are systematically fertilized excessively. But even for crops that are  
2310 generally not, potential rate reductions are plausible simply because of the wide ranges in N application  
2311 rates among fields and farms.

2312 Reducing rates requires more intensive management. Using an N management program that  
2313 involves diagnostic testing to guide split N applications was shown to be able to reduce N application  
2314 rates by 60 to 112 kg per ha (approximately 30% of N applied) by comparison to industry standard  
2315 fertilization practices in processing tomatoes (Hartz et al. 1994). Although the latter results likely  
2316 significantly overestimate potential reductions at this time due to recent meteoric increases in tomato  
2317 yields and N uptake with adoption of micro-irrigation (Hartz and Bottoms 2009), they are illustrative of  
2318 conceivable capacity to better target N decisions.

2319 For growers to reduce rates, information on crop demand and the technology to supply N are  
2320 critical inputs to guide growers' decisions on when, where, and how much to apply. The two primary  
2321 tools California producers currently use to guide fertilizer N rate decisions are soil and tissue tests. Soil  
2322 tests provide an indication of the mineral N in soil and plant-N availability. Tissue tests, in contrast,  
2323 indicate the sufficiency or deficiency of N within the plant. Extensive research in vegetable crops has

2324 proven the value of soil tests for N decision-making (Hartz et al. 2002; Breschini and Hartz 2002; Hartz et  
2325 al. 1994). Comparatively, the utility of tissue sampling in perennial crops has been called into question  
2326 recently (Brown personal communication). Antiquated sampling protocols that do not adequately  
2327 account for spatial and temporal heterogeneity of soils or crop processes (Rosenstock et al. 2010) and  
2328 “critical sufficiency values”<sup>5</sup> established for cultivars and conditions unrepresentative of agriculture  
2329 today make tissue tests a blunt tool, at best. Furthermore, the ability to apply split applications and  
2330 deliver fertilizer rates varies by cropping system and management and is impacted in fertigated systems  
2331 by the distribution uniformity/irrigation efficiency of irrigation technology used and its management. In  
2332 some cases, the size of the field and the economics of repeated management may preclude increased  
2333 number and better timed/even delivery of nutrients.

2334

#### 2335 ***A7.2.2 Change inorganic nitrogen fertilizer sources***

2336 Individual reactive N species are more or less susceptible to microbial transformations, adhesion to soil  
2337 clay particles, or chemical conversion. Selection of an N source that promotes or suppresses specific N  
2338 cycle attributes is thus theoretically possible. Options available to change inorganic N sources include:  
2339 (i) switching between conventional materials (e.g., from ammonium sulfate to calcium ammonium  
2340 nitrate) or (ii) switching from conventional synthetic materials to “enhanced efficiency materials”<sup>6</sup>.

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<sup>5</sup> Critical values refer to the concentration of nutrients within plant tissue. They are experimentally derived and reflect nutrient concentrations at a specific time of the year. See Embleton and Jones (1974) and Lovatt (2001) for examples of those in development and still in use.

<sup>6</sup> Enhanced efficiency fertilizers (EEF) are synthetically derived materials that are engineered to moderate the rate N becomes available to plants and microbes, extending it over a longer period of time (Shaviv and Mikkleson 1993). They achieve this by either building protective shells around solid fertilizer that dissolve—e.g., sulfur coated—or using chemicals that retard microbial action—e.g., nitrification inhibitors. The nature of the material itself and environmental conditions—namely temperature and soil moisture—determine the rate of N release, with N being released more rapidly under hotter, wetter conditions. It is important to note that a wide range of EEF are available in the marketplace—from nitrification inhibitors to polymer coated urea—and their mode of action in the soil is different.

2341 Changing between conventional materials can be an effective strategy to reduce  $\text{NO}_3^-$  leaching  
2342 and  $\text{NH}_3$  volatilization losses but the effect on  $\text{N}_2\text{O}$  emissions is uncertain but not likely significant if at  
2343 all. With their negative ionic charge and water solubility,  $\text{NO}_3^-$ -based fertilizers do not adhere to  
2344 similarly charged clay particles and therefore are not readily retained in the soil matrix. They readily  
2345 leach below the rootzone with water, especially with uneven distribution of irrigation water or with  
2346 precipitation (Letey 1994; Hanson et al. 2005). Utilizing  $\text{NH}_4$ -based fertilizer helps retain N in the soil  
2347 rootzone longer providing greater opportunity for crop uptake.

2348 More so than leaching, changing fertilizer type can dramatically mitigate  $\text{NH}_3$  volatilization.  
2349 Recall that volatilization is a physiochemical reaction of soluble  $\text{NH}_4$  being converted to gaseous phase.  
2350 Thus, fertilizers that contain  $\text{NH}_4$  or hydrolyze easily to this compound (e.g., urea) will have considerably  
2351 higher emissions, especially when applied to the soil surface. Harrison and Webb (2001) conclude from  
2352 their review of the literature that emission rates from urea-based fertilizer often exceed 40% of N  
2353 applied while that from ammonium nitrate are an order of magnitude lower. Limited use of urea and  
2354 widespread use of mixed ammonia and nitrate fertilizer blends are reasons volatilization from current  
2355 California cropping systems that use chemical fertilizer accounts for a relatively insignificant N flow.  
2356 Recent empirical results show that only an average of 3% of N applied is given off as  $\text{NH}_3$  under  
2357 California production condition (Krauter and Blake 2009).

2358 Changing fertilizer type is unlikely to have a significant effect on  $\text{N}_2\text{O}$  flux. After reviewing more  
2359 than 1000 studies of  $\text{N}_2\text{O}$  production, Stehfest and Bouwman (2006) conclude that rates of  $\text{N}_2\text{O}$   
2360 evolution from various fertilizers are practically indistinguishable when accounting for the experimental  
2361 differences—tillage systems, fertilizer placement, soil C, and pH (Snyder et al. 2009). Few experiments of  
2362 their dataset represent the intensive arid and semi-arid conditions similar to California agriculture and  
2363 thus extrapolation of the impacts to these conditions are somewhat speculative. However, the variable

2364 and often insignificant results suggest that switching between two conventional fertilizer types holds  
2365 little promise to mitigate N<sub>2</sub>O emissions.

2366 In contrast, switching to enhanced efficiency fertilizers (EEF) from conventional synthetic  
2367 fertilizers is often widely considered a valuable technological option to address the N challenge (INC  
2368 2011; Akiyama et al. 2010; Halvorson et al. 2010). Data suggest EEF are effective at reducing N losses. A  
2369 recent meta-analysis of the efficacy of EEF to regulate N<sub>2</sub>O emissions demonstrates polymer coated and  
2370 nitrification inhibitors decrease N<sub>2</sub>O by 35% and 38%, respectively (Akiyama et al. 2010). But the results  
2371 of the research on EEF and N<sub>2</sub>O may be confounded by experimental design. Evidence suggest that  
2372 although EEF present lower initial fluxes, N<sub>2</sub>O production may extend for longer periods and therefore  
2373 may show higher total losses (Delgado and Mosier 1996). Research, some of it done in California, has  
2374 also shown EEF slows downward percolation of NO<sub>3</sub><sup>-</sup> under irrigated conditions. Stark et al. (1983)  
2375 studied the effects of N fertilizer type and irrigation management on NO<sub>3</sub><sup>-</sup> movement on a loam soil.  
2376 Less NO<sub>3</sub><sup>-</sup> migrated below rootzone when sulfur coated ureas was used by comparison to conventional  
2377 fertilizer product. However, water management may swamp any benefits from EEF. Stark et al. (1983)  
2378 found that excessive irrigation pushed NO<sub>3</sub><sup>-</sup> down through the soil profile irrespective of N source.

2379 Utility and likelihood of switching to EEF in California is questionable<sup>7</sup> however, especially in the  
2380 near term. To begin with, EEF are more expensive. Estimates range from 9% (Snyder et al. 2009) to  
2381 nearly double (California Nitrogen Assessment (CNA), stakeholder meetings). This additional cost is  
2382 unwelcome without clear yield increases. EEF in recent California vegetable crops trials raised yields only  
2383 twice in nine experiments, 22% of the time (Hartz and Smith 2009). In the late 1970s and mid 1980s, it  
2384 was shown that nitrification inhibitors did increase N recovery in strawberry, cauliflower, and lettuce  
2385 (Welch et al. 1979, 1985). With today's system, however, it is not clear if EEF will produce comparable

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<sup>7</sup> Strawberry is the only current cropping systems where the use of slow release fertilizer is the industry standard (Strand 2008, Reganold et al. 2010).

2386 benefits in California as in other regions for which they are being touted. Benefits of EEF are maximized  
2387 when periodic and uncontrolled soil moisture decrease control of N, conditions only found during winter  
2388 in some parts of California agricultural valleys. The more common production conditions - hot, dry, and  
2389 fertigated - can provide equivalent or greater control of nutrients if managed astutely.

2390         Selecting appropriate fertilizer formulations to minimize emissions risk may be an important  
2391 mitigation strategy for some losses. But there is no universal ‘best’ inorganic N source to serve growers  
2392 needs and protect the environment.

2393

### 2394 ***A7.2.3 Modify fertilizer placement and timing***

2395 Fertilizer application timing and placement offer the opportunity to manage the location, size, and  
2396 duration of inorganic soil N pools and thereby influence crop uptake. When fertilizer is positioned in the  
2397 region of greatest root activity during periods of peak plant demand, plants generally have a competitive  
2398 advantage over soil microorganisms. Resulting plant uptake reduces the soil mineral N pool, leaving less  
2399 available for microbial transformations that prime it to be lost from the rootzone.

2400         Improving the timing and placement of fertilizer applications almost universally increases N  
2401 recovery and often results in greater crop productivity. Scheduling fertilization events to coincide with  
2402 periods of peak crop demand is critical to better the timing. In avocado, specifically matching  
2403 fertilization events with key phenological periods of rapid vegetative growth (mid-November and mid-  
2404 April) increased productivity—total weight and fruit size -- from 30% to 39% over four years (Lovatt  
2405 2001). Avoiding using N fertilizer prior to winter is an equally important timing strategy. Fertilizer  
2406 applied without actively growing plant cover is often lost. In a peach trial, fertilizer recovery increased  
2407 18% (58% vs 50%) by simply applying N in spring versus fall (Niederholzer et al. 2001). Even more  
2408 dramatic results illustrating the need to not apply N in the fall are available from research throughout  
2409 the Midwestern US (Robertson et al. 2011; Snyder et al. 2009). Knowledge of crop growth patterns

2410 underlies the ability to split fertilizer applications to meet crop demand. Each crop species has distinct  
2411 growth patterns, where nutrient demand is critical to further plant development. But generally, N  
2412 demand of fruiting crops increases steadily while fruit develop and then declines in a bell shaped pattern  
2413 over the season. In contrast, non-fruiting crops such as lettuce will increase gradually and require  
2414 increasing amounts of N throughout the entire production cycle (Hartz et al. 1994). Practical  
2415 complications stem from the need to ensure sufficient quantities of N when peak N demand occurs,  
2416 anywhere from a few weeks as in corn (Pang and Letey 2000) to a few months as in pistachio  
2417 (Rosecrance et al. 1998).

2418 Placement can also have a large impact on crop growth and N recovery. For example, Linnquist et  
2419 al. (2009) compare yields and fertilizer recovery of rice grown relying on surface or subsurface  
2420 applications. Fields with only subsurface N applied recovered an average of 46% more N (53% vs 38%)  
2421 and grain yields were higher. But it is important to note that improved timing and placement do not  
2422 always result in increased productivity. Hutmacher et al. (2004) demonstrate that yields of Acala cotton  
2423 grown across six farm sites in the San Joaquin Valley were statistically similar regardless whether a single  
2424 or two applications were used. Resources required for additional application would thus have little  
2425 value.

2426 The impact of improved timing and placement for controlling N leaching and denitrification is  
2427 relatively more uncertain. Logically, when plants out-compete microbes and assimilate a greater  
2428 fraction of the available N, losses of N from leaching and denitrification should be reduced. However, it  
2429 cannot be assumed that emissions will be reduced with better placement and timing alone. Indeed,  
2430 evidence is mixed. One study (Hultgreen and Leduc 2003 cited in Snyder) shows lower N<sub>2</sub>O emissions  
2431 from band placement versus broadcast surface applied urea. Yet another demonstrates that band  
2432 placement with urea results in emissions more than four-fold greater (Engel et al. 2010). Increased  
2433 emissions from band placement might be attributed to extremely high N concentrations within the small

2434 area covered by the band; essentially banding creates a hypersaturated zone. Unfortunately, data on  
2435 the effects of improved timing and placement on N<sub>2</sub>O emissions is not available for California.

2436 Improving the placement and timing of fertilizer N are unlikely to significantly alter N cycles in  
2437 California croplands. This is in part a consequence of the ambiguity in the predicted response, but more  
2438 so because the practices are already commonplace (Weinbaum et al. 1992). Growers have been splitting  
2439 fertilizer N applications for some time. The most recent statewide fertilizer use survey asked more than  
2440 800 growers in the late 1990s about their N management in 1986 and 1996 (Dillon et al. 1999). The  
2441 number of respondents that applied N in a single application decreased by 9.2% and the number of  
2442 growers that applied three or more applications rose 5.7%. Although current use of these practices is  
2443 largely not quantified, anecdotal evidence from CNA stakeholder meetings with farmers and UC  
2444 Cooperative Extension agents suggest that these trends have continued, as research repeatedly  
2445 demonstrates yield benefits from these practices and this underlies most recommendations (Hartz et al.  
2446 1994; Breschini and Hartz 2002; Rosecrance et al. 1998; Lovatt 2001). Blanket statements about the  
2447 effectiveness of a management practice though miss the idiosyncrasies of California production. There  
2448 are clearly specific production systems where better timing and placement may be appropriate. Rice  
2449 may be one exception where better placement would increase N recovery (see discussion above) and  
2450 strawberry may be one exception where research on the timing of N fertilizer application (currently  
2451 largely applied approximately 6-weeks prior to planting) may need to be reevaluated, especially in light  
2452 of changes in management due to restrictions on the use of methyl bromide.

2453 Ensuring N is available at the right place and time to satisfy plant demand while simultaneously  
2454 minimizing inorganic soil N accumulation is a central tenet of sustainable N management (Roberts et al.  
2455 2007). Generally, however, the prospect of either fertilizer timing or placement having a considerable  
2456 impact in California is limited because capacity to achieve this requires knowledge of (i) crop growth  
2457 patterns, (ii) ability to predict their responses to changes in weather, and (iii) the technology to precisely

2458 deliver N when and where it is needed. Information to satisfy the first requirement is reasonably  
2459 available for field and vegetable crops. Much less is known about N demand and distribution patterns in  
2460 tree crops (Rosecrance et al. 1998; Southwick et al. 1990; Christianson et al. 1990). The second criterion  
2461 is more difficult to meet. While data exist documenting uptake rates for many crops, again trees  
2462 notwithstanding, the capacity to predict weather events at scales appropriate for grower decision  
2463 making limits their ability to plan and relegates fertility management to be largely prescriptive (see  
2464 discussion of diagnostic testing in N rate above for exception). This is amplified because of California's  
2465 highly variable weather among years, which can cause yields to vary by 50%.

2466 Precision agriculture technology<sup>8</sup> may assist in improving fertilizer placement as well as in-  
2467 season application timing for some field crops. Rice and cotton have been the focus of some  
2468 experimentation and adoption with precision agricultural technologies (Roel et al. 2000). Evidence of its  
2469 application and effectiveness in the field is lacking. However, it is either unavailable (e.g., for  
2470 horticultural systems) or not well adapted (e.g., able to deliver nutrients at a meaningful scale of spatial  
2471 variation). An effort is underway to adapt precision agriculture to tree crops; harvesters and irrigation  
2472 systems are under development (Rosa et al. 2011), but engineering and biological obstacles currently  
2473 impede their practicality. Potential fertilizer N efficiency gains from precision agriculture, beyond simple  
2474 diagnostic soil and tissue tests remain distant.

2475

### 2476 **A7.3 Water management**

2477 Water regulates biological activity, chemical conversion of N, and physical transport of N in soils.

2478 Nitrogen moves into plant roots and tissues with water via diffusion and mass flow. Plants cannot

2479 assimilate N from dry soils and thus growth is, at minimum, compromised without the presence of

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<sup>8</sup> Precision agriculture refers to a suite of technology-rich geospatial and information decision tools that increase place-based fertilizer N decisions (e.g., GPS, spatially variable fertigation).

2480 sufficient water, and potentially altogether halted. Dry, well-aerated soils favor nitrifying bacteria, can  
2481 be a source of NO, and tend to accumulate NO<sub>3</sub><sup>-</sup>, increasing the risk of leaching and denitrification  
2482 losses when soils become rewetted. Excessive soil moisture, throughout the entire field or locally,  
2483 physically dissolves and translocates soil chemicals including N. Saturated conditions also restrict gas  
2484 diffusion. Soil environments with high water content reduce oxygen concentrations, which stimulate  
2485 denitrifying bacteria to use NO<sub>3</sub><sup>-</sup> in its place. Nitrous oxide production can result; the rate depending on  
2486 local conditions, such as water filled pore space and the presence of a readily available energy source,  
2487 e.g., C (Davidson et al. 2000). Due to the significant influence of soil water content on a multitude of soil  
2488 N cycling processes, any discussion of N management in agriculture must jointly consider water  
2489 management.

2490 Managing soil moisture content in California is unique by comparison to most other agricultural  
2491 regions of the US and elsewhere. The Mediterranean climate creates two distinct management periods,  
2492 a summer growing season characterized by hot day time air temperatures and negligible precipitation  
2493 and a winter cropping season characterized by cool moist weather with episodic and often intense rain  
2494 events. The lack of summer precipitation, and the resulting dry soils, means crop production during  
2495 these periods requires irrigation. Wetting and drying cycles resulting from irrigation generally reduces  
2496 soil aeration and increases microbial activity, and accelerates the transformation of N. Although  
2497 irrigation can create conditions conducive to N loss, irrigation by definition controls the quantity and  
2498 timing of soil moisture, and thus provides opportunities to moderate the N cycle not found in rainfed  
2499 systems. The prospects to control soil water content during winter cropping periods are limited (see  
2500 Section 7A.3.2). Large rain events that often occur during fallow and dormant periods between active  
2501 growing cycles can be acute times of N losses when crop residues decompose and surplus mineral N  
2502 fertilizer remains from the previous season (Cavero et al. 1999; Jackson 2000; Kallenbach et al. 2010).

2503 A well-designed, -functioning, and -managed irrigation system maintains N in the rootzone  
2504 longer; increasing plant N uptake potential and reducing leaching losses (Feigin et al. 1982a, b). The  
2505 positive outcomes are mostly a consequence that water is the dominant factor dictating  $\text{NO}_3^-$  movement  
2506 laterally and vertically through the soil profile in irrigated croplands of California. Collecting samples  
2507 from tile drain effluent from 58 sites growing a range of crops throughout California's agricultural valleys  
2508 demonstrates that mass emissions of  $\text{NO}_3^-$  (kg) are most significantly correlated with the amount of  
2509 water moving beyond the rootzone, even more so than the amount of N used (Letey et al. 1979; Pratt  
2510 1984). Subsequent studies implicate poor irrigation efficiency, applying water in excess of beneficial uses  
2511 (Meyer and Marcum 1998; Feigin et al. 1982; Stark et al. 1983) and low distribution uniformity as  
2512 culprits (Pang et al. 1997; Allaire-Leung et al. 2001) responsible for increasing drainage and leaching.  
2513 Conclusions are thus consistent with that outlined in the seminal research of the 1970s (Pratt 1979 and  
2514 subsequent publications): efficient irrigation is a prerequisite for high productivity, low leaching  
2515 agricultural systems in California.

2516 The fact that soil water content significantly alters the nature and magnitude of gas emissions is  
2517 well described (Schlesinger 1999; Davidson et al. 2000). Yet data are limited relating irrigation  
2518 management and control of gaseous emissions. Presumably better water management (e.g., higher  
2519 efficiency and uniformity<sup>9</sup>) would decrease emissions<sup>9</sup> due to enhanced control of wetting and drying  
2520 cycles and dampening the effects of soil spatial heterogeneity similar to its effects on leaching.

---

<sup>9</sup> Two interrelated metrics are used to describe irrigation system performance: (i) uniformity and (ii) efficiency. Uniformity relates to the evenness of distribution of water applied or infiltrated across the field's extent. No irrigation system can practically apply water at 100% uniformity. Spatial heterogeneity of soils and the length of the furrow affect uniformity. Because the common practice is to irrigate until the entire field receives sufficient water, non-uniform irrigations result in sections receiving significantly excess water. Length of furrows, differences between day and night irrigation set time, long irrigation set times, variable pressure, and clogged drip emitters are a few reasons for poor irrigation performance. Irrigation efficiency refers to the amount of water used for beneficial needs (crop evapotranspiration, leaching salts, frost protection, or cooling) related to the amount applied. The goal is to replace soil water lost through evapotranspiration. But low uniformity and the practicality of current systems including those reasons mentioned above and difficulty in predicting crop needs means that water often has to be applied at rates exceeding demand.

2521 Kallenbach et al. (2010) compared N<sub>2</sub>O emissions between furrow irrigated and subsurface drip  
2522 irrigation in a processing tomato system and found that there were greater N<sub>2</sub>O fluxes from the furrow  
2523 irrigated systems during the rainy season without a cover crop and during the growing season when a  
2524 leguminous cover crop had been planted the previous winter. These results suggest the higher  
2525 performing subsurface drip system (38.12 cm of water was applied versus 88.64 cm under furrow)  
2526 provides mitigative benefits. However, research is needed to clarify the nature of the relationship,  
2527 especially since many gas emissions represent only a small flux of soil mineral N, e.g., N<sub>2</sub>O ≈ 1.4% and  
2528 NH<sub>3</sub> ≈ 3% of N applied in California (Appendix 4.3, Krauter and Blake 2009).

2529

### 2530 ***A7.3.1 Improve irrigation system performance***

2531 Irrigation system performance is a function of underlying soil properties, technology, and management  
2532 (Hanson 1995; Breschini and Hartz 2002). What that means, in practice, is that there are many factors  
2533 that influence irrigation efficiency and distribution uniformity, some of which producers control and  
2534 others they do not. Growers have limited capacity to affect soil texture and heterogeneity (Childs et al.  
2535 1993; Letey et al. 1979). They, however, do decide when, where, and how much water to apply, subject  
2536 to the constraints of the irrigation and cropping system designs, water and labor availability, and  
2537 irrigation district policies. And it cannot be overstated that management decisions can override  
2538 technical capacity of irrigation systems. Analyzing data from nearly 1000 irrigation systems, Hanson  
2539 (1995) found that distribution uniformity and irrigation efficiency among irrigation types were similar in  
2540 practice despite the greater technical potential of pressurized systems. It is likely that management has  
2541 generally improved to capitalize on the advantage pressurized systems present in the 16 years since  
2542 these data were presented, but that is not a foregone conclusion (e.g., Breschini and Hartz 2002).

2543         Surface irrigation accounts for more than 50% of the irrigated acreage, although pressurized  
2544 irrigation systems are increasingly widespread (Orang et al. 2008). Optimizing surface irrigation systems

2545 requires improving uniformity of infiltration and using the appropriate set times. The most effective way  
2546 of increasing uniformity with surface irrigation is reducing the field length. Fields half the length (e.g.,  
2547 150 vs 300 m) have been shown to increase uniformity 10 – 15 percentage points and decrease  
2548 subsurface drainage by 50% (Hanson 1989). Such gains result from the shorter water advance times  
2549 reducing infiltration heterogeneity along the length of the field. Shorter furrows however frequently  
2550 conflict with practices, including demand for labor, and represent a significant increase in cost for  
2551 producers. Other options to increase performance with furrow irrigations are surge irrigation (Hanson  
2552 and Fulton 1994) or use torpedoes to compact soil and allow water to move more quickly down the  
2553 furrow, with the effectiveness of these practices dependent on soil type (Schwankl and Frate 2004).

2554 Pressurized irrigation systems provide a higher potential technical efficiency over surface  
2555 applications. With pressured systems, improving irrigation is simple. The system must be designed,  
2556 engineered, and operated correctly to achieve high performance standards. Switching from surface  
2557 irrigation to a low volume irrigation system will improve performance, assuming appropriate  
2558 management. In one study comparing irrigation technologies on lettuce in the Salinas Valley, similar  
2559 yields were obtained with drip while only using an average of 61% of the water used on furrow over  
2560 three years (Hanson et al. 1997). Goldhamer and Peterson (1984) found yields of cotton were greater  
2561 with linear-move sprinklers than with furrow and produced less deep percolation. There is no doubt  
2562 pressurized irrigation systems can distribute water more effectively if working properly and thus  
2563 converting croplands to their use has significant potential to affect change of the N cascade.

2564 Decisions about the best strategy to improve irrigation management must consider the entire  
2565 production envelope. The response is frequently dictated by farming and water economics. For example,  
2566 in production of lower value crops that primarily rely on surface irrigations, surface irrigation may be the  
2567 only economically justifiable solution. Cotton is more profitable when using furrow irrigation but this  
2568 management practice presents greater potential for subsurface drainage (Hanson and Ayars 2002) and

2569 thus the tradeoffs between economic viability and groundwater contamination are clear. Similarly, in  
2570 some areas, parcel size and shape together with land ownership patterns preclude the viability of  
2571 sprinkler systems on forage crops. Accordingly, water management and the call to improve irrigation  
2572 efficiency from policy makers and environmental and social advocacy groups will exert significant  
2573 economic pressures on farmers.

2574

### 2575 ***A7.3.2 Modify subsurface drainage***

2576 In areas of considerable soil drainage<sup>10</sup>, placement of engineered drainage systems is an option to  
2577 decrease deep percolation of  $\text{NO}_3^-$ . Drains change hydraulic soil properties creating a hydrologic  
2578 gradient that moves water toward the drain, essentially creating a vacuum to suck up soil water.  
2579 Captured leachate in agricultural areas is typically N-rich. Letey et al. (1977) found that median  $\text{NO}_3^-$   
2580 concentration of tile drain effluent was 28 ppm  $\text{NO}_3^-$ -N, almost three times the legal drinking water  
2581 standard. By capturing leachate, drains prevent deep percolation of N to groundwater.

2582         Drainage presents potential for pollution swapping. Drainage simply transfers N concerns  
2583 elsewhere. Removal of N from the soil decreases leaching potential, but also decreases denitrification  
2584 potential (Lund et al. 1974). Nitrogen in drain effluent still needs to be disposed of in an environmentally  
2585 friendly way. Usually, drainage effluent is transferred off-site and disposed of into surface waters.  
2586 Nitrogen rich effluent then becomes a source of surface water contamination and can contribute to  
2587 indirect  $\text{N}_2\text{O}$  emissions. Thus, drainage installation is not a stand-alone remedy for excessive N  
2588 application. When used in combination with options capable of handling the N-rich wastewaters (e.g.,  
2589 biological denitrification reactors), installing drainage systems becomes an option that will reduce N  
2590 loading.

---

<sup>10</sup> Drainage refers to the movement and removal of subsurface water from the crop rootzone. Well drained soils create optimal conditions for crop growth and management. Excess water inhibits root development, contributes to root zone anoxia, promotes disease, and prevents access to fields by machinery for crop maintenance.

2591 **A7.4 Alternative soil management**

2592 Soil management, in the broadest sense, encompasses virtually every cropping decision a grower takes,  
2593 from tillage to N fertility management. Alternative soil management refers to a subset of practices to  
2594 manage soil resources that are less widely adopted including: conservation tillage, organic N  
2595 amendments, and cover crops. An important unifying characteristic of alternative soil management  
2596 practices is that they add both C and N to soils either from plant or waste residues.

2597

2598 **A7.4.1 Conservation tillage**

2599 Tillage<sup>11</sup> causes short and long-term changes in soil nutrient dynamics. Through exposing protected soil  
2600 organic matter to microbial degradation and oxidation, tillage can lead to the loss of soil nutrients  
2601 (Reicosky 1997). For C, this means increased decomposition and CO<sub>2</sub> respiration; for N, the result is  
2602 growth of the soil mineral N pool and associated greater denitrification or leaching potentials. Because  
2603 of this, some suggest reduce the intensity of tillage to attenuate negative perturbations of agricultural  
2604 nutrient cycles (Lal 2004; Pacala and Socolow 2004).

2605 Conservation tillage<sup>12</sup> presents its own challenges for managing nutrients. With slow  
2606 decomposition of organic residues at the soil surface, net N immobilization can occur (Doane et al.  
2607 2009). Often this immobilization results in lower yields in the short term if not adequately accounted for  
2608 in the fertility program (Doane et al. 2009). Microbial nitrification will decrease soil surface pH and

---

<sup>11</sup> Tillage is the cultivation of land by ploughing, ripping, or turning soil. Tillage's primary functions are to aerate the soil, control weeds, improve water infiltration, and distribute fertilizers throughout the profile (Loomis and Connor 1992). Through tillage, soil structure, bulk density, and porosity as well as hydraulic properties such as water retention, hydraulic conductivity, water infiltration, and percolation generally improve (Balesdent et al. 2000; Wu et al. 1992; Lal 1999; Hubbard et al. 1994). Tillage also can change soil pH, but direction of effects depends on the tillage regime (Blevins et al. 1983). An important consequence of tillage is that it increases carbon loss and soil organic matter decomposition.

<sup>12</sup> There are many reduced tillage systems. The extreme is no-till where soils are not disturbed. Conservation tillage, which is more often practiced in California, relates to any tillage system that maintains at least 30% residue cover throughout the year (Mitchell 2009).

2609 presumably decrease volatilization potential, unless lime is applied. In the surface profile, reducing  
2610 tillage intensity will increase soil organic C (SOC) in the topsoil (Lal 2004). Evidence of increased SOC  
2611 from conservation tillage throughout the soil profile is limited, despite widespread claims (Baker et al.  
2612 2007). Decaying organic residues form a readily available source of C for soil microorganisms, which can  
2613 lead to increased rates of denitrification by comparison to conventional tillage (Li et al. 2005; Snyder et  
2614 al. 2009). Though the effect is inconsistent, it appears to be sensitive to fertilizer placement (Venterea et  
2615 al. 2011), and may be mitigated if reduced tillage is practiced in the long-term (Six et al. 2004).  
2616 Inconsistent experimental findings, interacting management factors, and antagonistic pollution potential  
2617 suggest conservation tillage is an imperfect tool to manage N cycling in California.

2618 Conservation tillage is a technical term, with specific constraints on soil surface coverage, and  
2619 simply reducing tillage intensity somewhat offers many agronomic and environmental co-benefits such  
2620 as, dust control, water infiltration, and reduced fossil fuel consumption (Mitchell et al. 2007; Linquist et  
2621 al. 2008). But its utility for sequestering soil C and mitigating N emissions from California croplands is  
2622 questionable, especially in the near term. Root density and structure will have a large effect on soil C  
2623 accumulation and crop growth patterns are sensitive to soil microclimates. Residue cover tends to  
2624 decrease soil surface temperatures allowing roots to amass closer to the surface than they might  
2625 otherwise. Comparisons of reduced and conservation tillage based only on surface soil C may therefore  
2626 inherently bias results (Baker et al. 2007). Long-term observations at three sites demonstrate the  
2627 potential variability in changes in C stocks. De Gryze et al. (2010) show changes in SOC range from -50%  
2628 to 100% when comparing conservation with standard tillage. Net greenhouse gas emissions were  
2629 slightly less from systems using conservation tillage. Kong et al. (2009) compared N<sub>2</sub>O emissions from  
2630 minimum and standard tillage practices and found peak fluxes from minimum tillage using inorganic  
2631 fertilizer were more than double that from standard tillage. Preliminary results from an ongoing  
2632 examination of N<sub>2</sub>O emissions from tomato-wheat rotations under conventional and conservation tillage

2633 suggest reduced tillage emitted 37% less N<sub>2</sub>O of the N applied (48% versus 76%) (Kennedy et al. 2012).

2634 What can be concluded is that the mitigative impacts of reduced tillage depend on a series of other  
2635 production factors, which are difficult to predict, and uncertain.

2636           Until recently, California cropping systems were not adapted for conservation tillage. Because  
2637 reduced tillage requires specialized equipment and California crop typology is so diverse, a lack of  
2638 appropriate implements impeded its use. Today, it is possible to grow processing tomatoes, cotton, rice,  
2639 and lettuce under reduced tillage regimes (Madden et al. 2004; Mitchell et al. 2007; Venterea et al.  
2640 2005; Linquist et al. 2008; Doane et al. 2009). These four crops are cultivated on more than 600,000 ha,  
2641 an area equal to roughly 20% of the cultivated irrigated farmland. Yet, the area cropped, while rising  
2642 rapidly, using conservation tillage, was less than 1% in the mid-2000s (CTIC 2004) suggesting a significant  
2643 expansion potential. And it seems that potential is being capitalized on. More recent statistics indicate  
2644 nearly 1 million acres of farmland are under conservation tillage in California (Warnert 2012). Even  
2645 though only a small fraction of croplands meet the requirements to be considered conservation tillage,  
2646 expert accounts suggest producers throughout California appear to be reducing tillage intensity,  
2647 especially in the San Joaquin Valley (D. Munk, personal communication).

2648           Based on the available data for California soils, climate, and crop, we conclude that the value of  
2649 conservation tillage in mitigating N<sub>2</sub>O emissions specifically, or climate change more generally, is still  
2650 speculative, with some conflicting results. Conservation tillage, however, is multifunctional and  
2651 consideration of climate regulation in combination with other co-benefits warrants increased  
2652 consideration of this practice.

2653

2654 ***A7.4.2 Applying organic wastes***

2655 Applying organic waste products—manures, composts, and urban green wastes<sup>13</sup>—changes many  
2656 features of the soil environment, largely for the better. Most importantly, these amendments add  
2657 organic matter (SOM) to soils. Increased SOM improves aggregation and aggregate stability, which helps  
2658 drainage, infiltration, and overall tilth—bulk density, porosity, and hydraulic conductivity (Wander et al.  
2659 1994; Rosen and Allan 2007). Microbial biomass and labile pools of soil organic C and N also increase  
2660 with organic amendments (Drinkwater et al. 1998; Poudel et al. 2001). Reserves of SOC and SOM serve  
2661 as slow-release sources of nutrients and energy for plants and microbes, with the rate of availability  
2662 depending on the material’s quality: C/N ratio, lignin, and polyphenol content (Palm et al. 2001). Use of  
2663 organic wastes further promotes healthy and active soil microbial communities, slowing the pace of N  
2664 turnover, minimizing the size of the soil mineral N pool, and in some cases mitigating N fluxes  
2665 (Drinkwater et al. 1998; Reganold et al. 2010; Burger et al. 2005; Kramer and Gleixner 2006).

2666         Efficient use of organic N in wastes is more complex than managing inorganic N mineral  
2667 fertilizers. The first challenge is variability in the materials themselves. Organic amendments vary  
2668 significantly in their N, and C, content. Differences are significant both between types of organic wastes  
2669 (e.g., beef steer manure versus urban green waste) and within wastes derived from the same type of  
2670 source (e.g., dairy manure). Of 31 samples of solid organic amendments intended for agricultural use in  
2671 California, Hartz et al. (2000) found total N ranged between 10 to 47 g per kg among materials and the  
2672 amount of organic N within the same material category ranged between 16 and 192% for materials with  
2673 at least 3 samples. Large variation in N composition can be traced to source stock (e.g., animal diets or  
2674 biomass) and conditions during processing. Without chemical analysis of waste prior to application,  
2675 nutrient application rate cannot be estimated.

---

<sup>13</sup>This discussion centers on manures and compost because of their overwhelming dominance of use (416 Gg of manure-N generated by animal production each year alone, nearly 2/5 of the N applied to croplands each year (Chapter 4). In 2007, 258,122 ha of California cropland received manure (USDA Census of Agriculture 2007). Similar concerns are applicable to biosolids.

2676 The second and related challenge has to do with the mineralization rate of N in organic wastes.  
2677 As mentioned previously, mineralization occurs at variable rates subject to residue quality,  
2678 environmental conditions (e.g., temperature and moisture), and management (e.g., tillage). These  
2679 factors interact sufficiently to make SOM become plant available on time scales ranging from days to  
2680 years, with accurate prediction of release rates requiring advance computation and nontrivial data (e.g.,  
2681 Crohn 2006). In an incubation experiment using California soils, between 4 and 35% of manure and  
2682 composts were mineralized over the course of 10 months (Pratt and Castellanos 1981). Growing seasons  
2683 are often shorter in length and thus these results likely overestimate mineralization under typical  
2684 production conditions. In four months, only an average of 11% of N was released for manures, 6% from  
2685 composts containing manures, and 2% from composts composed of urban wastes (Hartz et al. 2000). To  
2686 account for slow release, users of organic N end up having to apply rates well in excess of plant N  
2687 demand, at least until soils reach an equilibrium where rates of mineralization equal N additions (Pratt  
2688 1979; Pang and Letey 2000). Although here we illustrate the issues with solid materials, similar concerns  
2689 complicate the use of liquid manure, common practice in Central Valley dairies (Feng et al. 2005). More  
2690 homogenous, faster releasing materials are available (e.g., seabird guano, blood meal, and fish powder);  
2691 however, cost limits their use in commercial settings (Hartz and Johnstone 2006).

2692 Will using only organic N compromise productivity? This issue is very much debated. Some  
2693 studies show yields are lower than conventional (e.g., Reganold et al. 2010; Jackson et al. 2004) when  
2694 equivalent amounts of N are applied in part, presumably because much of the N contained within  
2695 organic sources is not immediately plant available (Rosen and Allen 2007). Others suggest yield  
2696 differentials are rarely apparent, however (Badgley et al. 2007; Drinkwater et al. 1998; Reganold et al.  
2697 2001). The most recent meta-analysis suggests yields of cropping systems using organic versus inorganic  
2698 materials were between 9 and 35% lower (Seufert et al. 2012), though many factors unrelated to  
2699 fertilizer type may affect the productivity of the systems. Research results from California annual

2700 cropping systems demonstrate comparable yields can be achieved with intensive management. Over  
2701 five years, yields of an organic rotation were similar to those from a conventional 2 year tomato-corn  
2702 rotation (71 Mg per ha), both of which were slightly below average statewide yields over the same time  
2703 frame (77 Mg per ha) (Poudel et al. 2002). Results such as these coupled with average yield ratios  
2704 comparing organic and conventional (see Box 7.1) suggest that production N systems using organic N  
2705 are less productive.

2706 But is using organic N amendment more environmentally friendly than using conventional  
2707 inorganic N sources? Conflicting results permeate the literature. Because applying organic wastes adds C  
2708 and builds SOM, N tends to remain in the soil for a longer period. Drinkwater et al. (1998) suggests the  
2709 use of organic waste decreases leaching by nearly 50%. One report demonstrates that by stimulating  
2710 the active denitrifier community, there was increased N<sub>2</sub> emissions in organic plots which leached 4.4 -  
2711 5.6 times less NO<sub>3</sub><sup>-</sup> than conventional plots (Kramer et al. 2006). Wang et al. (2008) show that 77% less  
2712 NO<sub>3</sub><sup>-</sup> was leached from a rotation of cantaloupe and lettuce on a sandy soil using organic-N than one  
2713 using synthetic fertilizer. It has been shown that N<sub>2</sub>O fluxes peak at greater levels in conventionally  
2714 managed than organic systems (Burger et al. 2005; Kong et al. 2009). Simulations of N mineralization  
2715 from poultry manure, corn uptake, and NO<sub>3</sub><sup>-</sup> leaching show that rates would have to exceed 600 kg of  
2716 organic-N per ha to meet crop requirements; at this rate nearly 300 kg N per ha would be leached (Pang  
2717 and Letey 2000). Applying the same model to common liquid manure management practices (e.g.,  
2718 furrow irrigation with less than 80% uniformity), leaching rates approach or exceed 200 kg N per ha per  
2719 year when N is applied at 1.4x plant uptake (Feng et al. 2005). Data that account for the difference in  
2720 levels of N input and differences in levels of production suggest similar degrees of NO<sub>3</sub><sup>-</sup> leaching per unit  
2721 applied and output from organic N and inorganic (Kirchmann and Bergstrom 2001; Kirchmann et al.  
2722 2002). There is also little evidence that direct emissions of N<sub>2</sub>O from manures and composts differ  
2723 significantly from synthetic fertilizers. Compilation of available data show that emissions from organic

2724 sources are approximately similar, if not greater than inorganic sources, 1-2% of N applied (Bouwman et  
2725 al. 2002; IPCC 2007).

2726 Use of organic wastes in California is constrained by logistical and health concerns. The  
2727 economics of transporting bulky organic N containing materials limit the distribution of application.  
2728 Liquid manure is moved at most about 3 or 4 miles from the place of production while solid materials  
2729 are transported at most 50 miles, but often much less. More recently, concerns have been raised over  
2730 the transfer of pathogens in manure. If the manure is not composted adequately, it can contain human  
2731 pathogens (including *E. coli* H0157). Composting of manure emits much of the plant available N as  
2732 gaseous emissions of N both reducing its fertilizer value and adding to regional air problems.

2733 Integrated fertility or low-input systems that utilize inorganic and organic N sources may  
2734 achieve both production and environmental goals. Inorganic N fertilizer acts as a quick release  
2735 supplement to sustain crop growth until organic N mineralizes, more effectively synchronizing soil-crop  
2736 nutrient cycles (Kramer et al. 2002). Incremental increases in yield and substantial decreases in emission  
2737 can result (Cavero et al. 1999; Poudel et al. 2001, 2002).

2738

### 2739 **A7.4.3 Biochar**

2740 Biochar is produced during the low temperature pyrolysis of organic residues (plant matter, animal  
2741 waste) to generate renewable energy. The resulting material is then applied to land as a soil  
2742 amendment. Although the use of biochar amendments to agricultural soils is receiving increased  
2743 attention as a method for reducing N leakage while sequestering carbon, improving soil fertility, and  
2744 increasing water retention in soil (Lehmann 2007), few data are available to evaluate its ability to  
2745 achieve the proposed benefits and even less to evaluate the mechanism by which it achieves the  
2746 proposed benefits.

2747 Use of biochar is impeded by the large variation in the materials. Materials sold, distributed, and  
2748 applied under the “biochar” banner may differ significantly in their absorptive capacity and stabilization  
2749 properties. Differences in materials arise from the wide variety of chemical composition of feedstock  
2750 and conditions of pyrolysis. Variation further limits the capacity to predict or understand its interactions  
2751 with soil processes. It remains to be seen if biochar is another “snake-oil” or if it truly has staying power.

2752

### 2753 **A7.5 Landscape approaches**

2754 Not every action to control N emissions must take place within field borders. Emissions, by definition,  
2755 transfer N across boundaries between environmental systems. It is at the points where two ecosystems  
2756 interface that landscape approaches change flux potential. Practices implemented at the field boundary  
2757 or strategically distributed across the landscape can capture, recycle, and transform N prior to its release  
2758 into the wider environment. Currently, most landscape approaches for N management aim to limit  $\text{NO}_3^-$   
2759 movement from the biosphere to the hydrosphere by sequestration and denitrification.

2760 Managing reactive N at the landscape scale offers a prospect for N control but adds concerns as  
2761 well. When landscape features serve as sinks for N, sustainable reduction must result in long-term  
2762 storage of N in the burial of plant materials and sediments. Without storage, impacts are delayed, not  
2763 mitigated. Soil water and N content in the system is high and thus there is a likelihood of denitrification  
2764 and  $\text{N}_2\text{O}$  evolution. Unmanaged wetlands generally emit only a small quantity of  $\text{N}_2\text{O}$  (Groffman et al.  
2765 1998). But it is once systems are overloaded with  $\text{NO}_3^-$  from agriculture that they become a substantive  
2766 source of the greenhouse gas. Use may therefore cause pollution swapping to a limited extent, if  
2767 denitrification conditions cannot be controlled.

2768 Landscape approaches can be divided into two main categories. The first involves the  
2769 management of natural vegetation at the field’s edge or stream bank. The second comprises  
2770 engineering solutions. While it seems self-evident, it is worth noting here that the effectiveness of any

2771 landscape approach, natural or man-made, to regulate N cycling will depend on its positioning and size.  
2772 A large poorly sited landscape feature, outside an N flow path, will not interact with sufficient N to make  
2773 a marked difference. Conversely, biological processes may be overwhelmed if the feature's area is  
2774 insufficient to treat the influent N load. This reality means features often have to be located on prime  
2775 farmland, creating additional opportunity and operations costs.

2776

#### 2777 ***A7.5.1 Manage natural vegetation***

2778 Vegetative areas at field boundaries, which can range from simple grass buffer strips to complex multi-  
2779 strata riparian ecosystems, reduce  $\text{NO}_3^-$  loading to the environment. Grasses, herbaceous perennials,  
2780 and trees typically intercept  $\text{NO}_3^-$  as it moves across the soil surface with sediment and runoff or with  
2781 their roots during subsurface transport. A meta-analysis of vegetative buffers indicates that the median  
2782 reduction of  $\text{NO}_3^-$  was 68.3% but actual reductions varied widely, from 2.2-99.9%, (Zhang et al. 2010).  
2783 Variation in buffer performance can be attributed to its size and topographic positioning. Accordingly,  
2784 larger buffers sequester more  $\text{NO}_3^-$ , up to 88% of influent at 30m. Isotopic N experiments indicate  
2785 actively growing plant cover is important to maintain and increase buffer capacity, with 2/3 greater  $\text{NO}_3^-$   
2786 uptake when vegetative buffers were managed by cutting than unmanaged system (Bedard-Haughn et  
2787 al. 2004, 2005). Riparian areas at the edge of waterbodies reduce  $\text{NO}_3^-$  to similar degrees. Data from 89  
2788 studies on 45 riparian areas indicates an average 67.5% N removal rate (Mayer et al. 2007). Riparian  
2789 zones appear to be more effective at removing subsurface  $\text{NO}_3^-$  than surface runoff suggesting that  
2790 aggregate effects of soil type, subsurface hydrology, and denitrification potential may have a large  
2791 influence on their utility as an N management measure.

2792 Dedicating land for vegetative areas can have its downside though. In particular, it removes land  
2793 from production, with concordant economic consequences. Vegetative areas may place greater  
2794 demands for labor because of the need to manage the features, be it mowing or biomass harvesting. In

2795 some cases, buffers may increase weed or pest establishment. Thus, vegetative buffers present likely  
2796 tradeoffs with economics, labor, and agricultural chemical use.

2797

#### 2798 ***A7.5.2 Construct engineered solutions<sup>14</sup>***

2799 Human engineered systems, such as constructed wetlands and denitrification reactors, are designed to  
2800 process N in influent in much the same way as natural features, relying on processes of uptake and/or  
2801 denitrification. Their ability to reduce N load of effluent and protect water quality is determined by a  
2802 large number of site-specific factors, such as the timing, magnitude, and concentrations of nutrient load,  
2803 and hydrologic properties, such as residence time and thus high variability in efficacy should be  
2804 predicted (Iovanna et al. 2008). Nevertheless, constructed wetlands and denitrification reactors appear  
2805 to be effective. In California, O'Geen et al. (2007) studied a 1-year old wetland and a 10-year old mature  
2806 wetland in the San Joaquin Valley. The newly constructed wetland removed an average of 22% of  $\text{NO}_3^-$   
2807 while the more mature wetland removed 45% (O'Geen et al. 2007). Irrigating pasture tends to produce  
2808 artificially occurring wetlands in drainage basins. Even at low residence times (less than 2 hours),  
2809 wetlands in these circumstances are capable of reducing  $\text{NO}_3^-$  loads by 60% and total N by 40% (Knox et  
2810 al. 2008).

2811 Recently, development and deployment of “denitrification reactors” has been proposed to  
2812 reduce the N loading from agricultural runoff, as well waste- and stormwater (Collins et al. 2010). A  
2813 denitrification reactor is essentially a trench with high C infill, such as wood chips. Nitrate-rich waters  
2814 transit through the C rich substrate slowly enough for denitrification to take place. Management is key  
2815 to ensure appropriate denitrification conditions are maintained and remains the largest concern. If  
2816 operated with low residence times, too high N concentrations, or limited C; denitrification reactors may

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<sup>14</sup> Many technologies applicable to agriculture were either developed or are also used for treatment of water from wastewater treatment plants and stormwater.

2817 become a source of N<sub>2</sub>O. Substrate must be high in carbon and resistant to decomposition so that  
2818 denitrification is not limited and the material does not have to be replaced often. As with other  
2819 landscape approaches, the effectiveness of denitrification reactors to reduce the N in the effluent load  
2820 can vary based on the C material, residence time, and influent N concentrations (Collins et al. 2010;  
2821 Schipper et al. 2010<sup>15</sup>).

2822 Only a few large-scale bioreactors are in operation in the US, principally distributed at  
2823 commercial drinking and treatment facilities (Jensen et al. 2012; King et al. 2012). Bioreactors are an  
2824 effective technology reducing loading at a smaller scale. Robertson and Cherry (1995) show that  
2825 bioreactors can treat leachate from 60 ppm to 2 -25 ppm NO<sub>3</sub><sup>-</sup>, a removal of 74 – 90%. Recently, they  
2826 have been shown to be effective for treating effluent from onsite wastewater treatment systems  
2827 (Leverenz et al. 2010). The technology could also be effective for treating agricultural leachate and  
2828 runoff from tile drains because runoff N is already in the form of NO<sub>3</sub><sup>-</sup> and therefore doesn't need to be  
2829 nitrified prior to denitrification, as in the case in industrial wastewater treatment. Effluent from field  
2830 drains at local or aggregate at larger scales may prove to be an option worth exploring.

2831

## 2832 **A7.6 Agrobiodiversity**

2833 Biodiversity, and agrobiodiversity<sup>16</sup> more specifically, improves N cycling through altering the pace of N  
2834 turnover, stabilizing soil N within organic matter, extracting a greater fraction of mineral N from the soil,  
2835 retaining N in the landscape, and reducing the exchange of N between adjoining ecosystems or among  
2836 land, air, and water (Brussaard et al. 2007; Young-Mathews et al. 2010; Smukler et al. 2010). It achieves  
2837 all this through virtually every plausible N control mechanism, from efficiency to transformation.

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<sup>15</sup> See Ecological Engineering (2010) volume 36, issue 11 for special issue on bioreactors.

<sup>16</sup> Agrobiodiversity refers to domesticated and non-domesticated species that support food provisioning. This clearly includes plants and animals that are consumed but also pollinators and soil biota that are necessary for production.

2838 Managing for diverse agricultural landscapes, therefore, holds some promise for addressing N concerns  
2839 in California agriculture. However, significant technical and financial obstacles impede diversifying  
2840 production systems and their surroundings within their current geometry and technological,  
2841 institutional, and regulatory envelope.

2842

#### 2843 ***A7.6.1 Plant green manures and trap crops***

2844 Cover crops are plants grown for reasons other than to generate income, with altering soil N cycling  
2845 being one of the most frequent goals. Cover crops can be grown concurrently with a cash crop, as when  
2846 they are planted between rows in perennial systems, or between annual crops when fields would  
2847 otherwise be fallow. In either circumstance, cover crops influence N cycling by changing soil physical and  
2848 chemical properties after they are incorporated into the soil. Effects ranging from rapid N mineralization  
2849 and availability to near complete inorganic N immobilization are possible, with the consequences being  
2850 a function of characteristic traits of the cover crops species (biomass, C/N ratio, N fixation) and  
2851 environmental conditions of production (length of growing season, temperature, soil moisture)  
2852 (Drinkwater et al. 1998; Hu et al. 1997; Shennan, 1992). Variation in the potential N cycling impacts and  
2853 the diverse set of cover crop species and cash crop production systems places a premium on thoughtful  
2854 species selection when using cover crops. When planted for N utility, cover crops serve either of two  
2855 opposing objectives and it is important to differentiate between them. Leguminous cover crops add new  
2856 N to the soil (e.g., green manures) while non-leguminous cover crops (e.g., trap crops) capture and  
2857 recycle N back to the soil surface.

2858         Green manures are grown to increase the soil N pool in support of cash crop nutrient demand  
2859 (Patrick et al. 2004; Jackson 2000). Incorporation and decomposition of cover crops material provide soil  
2860 microbial communities energy to mineralize N contained within the green manure. Cover crops with a  
2861 low C/N ratio (i.e., <20) ensure rapid decomposition and avoid net microbial immobilization of soil N

2862 which would have a potentially deleterious effect on cash crop growth (Wyland et al. 1995). The  
2863 quantity of N made available is determined by the rate of fixation and biomass production, both  
2864 controlled by inherent species traits, as well as environmental conditions and length of crop cycle.  
2865 Shennan (1992) reviewed cover crop for California and found that reported rates of fixation ranged from  
2866 56 to greater than 200 kg N per ha. Fixation rates at the higher end of that range are of levels to  
2867 support nutrient demands of most crops. However, as with inorganic N, uptake efficiency of legume N is  
2868 generally low—averages about 30% (Crews and Peoples 2005). Part of the inefficiency results from rapid  
2869 mineralization of N after incorporation, which potentially decreases N supply and crop demand  
2870 synchrony. In a California no-till processing tomato system, Herrero et al. (2001) found that soil mineral  
2871 N was higher in systems following cover crop incorporation than application of inorganic mineral  
2872 fertilizer demonstrating the potential for poor synchronization. As previously discussed, nutrient supply  
2873 and demand asynchrony increases the risk of leaching and gaseous emissions, although higher emissions  
2874 do not always result. Crews and Peoples (2005) suggest that legume N in irrigated production may  
2875 decrease N loss in part because of a greater incorporation of legume-N into SOM. By comparison to  
2876 inorganic N sources, direct N<sub>2</sub>O emissions from leguminous N sources are often reported to be lower,  
2877 approximately ½ on average (Rochette and Janzen 2005).

2878 Non-leguminous cover crops are used as trap crops to capture inorganic N remaining in the soil  
2879 following cash crop production. This is important because without actively growing plant cover (e.g., in  
2880 winter fallow and dormant periods) soil N builds up due to mineralization of plant residues and is  
2881 particularly vulnerable to loss (Jackson et al. 1994). With the EPIC biogeochemical model, research  
2882 predicts that leaching of NO<sub>3</sub><sup>-</sup> in tomato and lettuce systems can exceed 150 kg per ha following the  
2883 primary summer production season (Cavero et al. 1999; Jackson 2000). Using cover crops over this  
2884 period consistently and significantly reduces the size of the NO<sub>3</sub><sup>-</sup> pool and pollution potential (Jackson et  
2885 al. 2003). By capturing and sequestering what would have been lost, trap crops minimize the inorganic N

2886 pool and present an opportunity to recycle N into the cropping system upon their decomposition. Crop  
2887 growth patterns and root density and structure determine a species' ability to extract N from the soil.  
2888 Because of the differences between crops, strategically designing cropping systems and crop rotations is  
2889 necessary to achieve a high system N efficiency.

2890         Cover crops offer non-N related benefits as well, such as addition of organic matter, disease  
2891 suppression, erosion control, and maintenance of beneficial insect population and these co-benefits  
2892 may drive their use (Ingels et al. 1994). Utilization of cover crops to achieve N cycling objectives in  
2893 California faces many challenges, however. The most frequently cited issues center on the effects of  
2894 leguminous N on cash crop yields, cost of implementation, competition with cash crop management  
2895 practices, and depletion of soil moisture. Data suggest the concerns are well founded for some systems.  
2896 For example, a meta-analysis of research on replacing fallows with leguminous crops found that yields  
2897 were only an average of 10% less when using legume cover crop to support cash crop growth instead of  
2898 inorganic fertilizers (Tonitto et al. 2006) suggesting the potential to partially substitute organic N source  
2899 for inorganic N. However, short time frames between cash crops limiting total biomass production,  
2900 depletion of soil water reserves by the cover crop, and costs of establishment and incorporation  
2901 constrain their current use (Jackson et al. 2003) and future potential.

2902         Because of the physiological differences between crops, pairing the appropriate cover crop with  
2903 the cropping goal is essential to maximize benefit. (Ingels et al. 1994). The growth habit, flowering  
2904 period, maturity, and reliability of self-reseeding are a few of the characteristics that are important to  
2905 consider when selecting the right cover crop. Cover crops grown in annual systems, for instance, may  
2906 need to be fast growing species to maximize biomass production and N uptake during the short  
2907 windows between cash crops. In perennial systems cover crops that are strong self-reseeders may  
2908 become invasive weeds competing for light and soil resources. The tradeoffs cover crops create, some  
2909 associated with N and some with other factors, hinder their application. Ultimately, successful use

2910 requires evaluating the benefits and potential concerns of a cover crop species with the demands of the  
2911 farming system.

2912

### 2913 ***A7.6.2 Diversify crop rotations***

2914 Impacts of diversifying crop rotations on N cycling will depend on rotation used, the species substituted,  
2915 and the management of the crops. It is essential to consider entire cropping system N efficiency. For  
2916 example, safflower is regularly fertilized with 110 to 170 kg N per ha but it has been shown to produce  
2917 high yields with minimal addition of N fertilizer relying extensively on residual N in rotation with other  
2918 crops (Kaffka and Kearney 1998; Bassil et al. 2002). Only if the entire rotation is accounted for will  
2919 diversifying rotation to include sunflower be beneficial. Unfortunately, crops with significant extractive  
2920 capacity tend to be of low economic value. With the high costs of land and water in California, the  
2921 inclusion of such crops is often untenable.

2922 One unique case is when using alfalfa in rotations. Alfalfa is a legume that fixes atmospheric N  
2923 arresting the need for synthetic N inputs. Unless an 'N credit' is given for N released from decaying  
2924 alfalfa residues when it is plowed under, the subsequent crop may be over-fertilized (Robbins and Carter  
2925 1980). As a rule of thumb, an appropriate credit may be between 67 and 90 kg N per ha but data are  
2926 limited to outline precise guidelines causing producers to ignore the economic saving (from displaced  
2927 synthetic fertilizer application) and increase potential pollution concerns.

2928 A diverse array of crop rotations is used in annual croplands of California. Some patterns are  
2929 widespread (e.g., processing tomato-wheat in the San Joaquin Valley; lettuce-lettuce-cole in the Salinas  
2930 Valley), while others much less so. Ongoing research documenting rotations in Kern County shows that  
2931 the 10 most commonly observed rotations account for 48% of cropping patterns (MacEwan and Howitt,  
2932 personal communication). These data illustrate that while clear patterns are discernable, there is a  
2933 substantial variation. Deviations in planting decisions are consequences of external drivers, such as

2934 market, weather conditions, and availability of water. Current conditions are a good example. High  
2935 commodity prices are leading to a resurgence of cotton production in the San Joaquin Valley after years  
2936 of decline since 2005, likely displacing area previously converted or planned for other crops.

2937           Diversifying crop rotations in California croplands has only limited potential to address N  
2938 dynamics under current California cropping conditions. Even in the highly profitable organic vegetable  
2939 market, on-farm crop diversity quickly decreased from 17 to 3 crops over three years (Smukler et al.  
2940 2008). Furthermore, a significant portion of the annual forage in California is associated with the dairy  
2941 industry which is dependent upon affordable feed. Changing cropping practices and potentially  
2942 minimizing forage acreage may increase feed prices to unsustainable levels. Economic costs and benefits  
2943 of farming coupled with little environmental regulation do not incentivize to change rotation patterns  
2944 and thus there are few examples where change might take place. However, annual crop rotations are  
2945 responsive to external stimuli and present opportunities to alter N cycling throughout the state on a  
2946 season-by-season basis. Although cover crops may impart agronomic and environmental N benefits,  
2947 using cover crops present a number of cropping concerns. Depending on the species and cropping  
2948 conditions, cover crops can deplete soil moisture, decrease plant available N, increase weed nuisance,  
2949 harbor pests, and change microclimates, which may lead to frost damage to perennial crops (Ingels et al.  
2950 1994). Furthermore, cover crops require increases in management costs that include costs of seed,  
2951 energy, and labor. These challenges are often cited as reasons for lack of adoption (Jackson et al. 2003)

2952

### 2953 ***A7.6.3 Enhance soil biological activity and diversity***

2954 Soil animal and microbial diversity is part of the biological resources of agroecosystems and thus  
2955 managing their activities should be considered as part of the N management portfolio. It is clear that  
2956 microbial communities control soil N cycling. Soil bacteria determine the pace of N cycling where most N  
2957 transformation processes are direct results of the activity of these microorganisms including,

2958 denitrification, nitrification, immobilization, and fixation. Through these processes, soil fauna affect the  
2959 rate of N reactions, effectively manipulating the size and duration of soil N pools (Drinkwater et al.  
2960 1995). In addition to the effects on chemical composition, soil organisms affect physical composition and  
2961 structure of soils, which changes gas diffusion and hydraulic properties. At the same time, soil biota is  
2962 affected by N availability. When soils are low in available N, fungal communities dominate. In contrast,  
2963 bacterial communities tend to dominate soils with significant quantities of N available.

2964 Management decisions can influence soil biodiversity directly or indirectly. Yet, few approaches  
2965 aim to directly manipulate soil biodiversity and behavior. Corkidi et al. (2011) demonstrate the potential  
2966 value of such approaches. The authors analyzed leachate from containers growing three common  
2967 nursery crops and found that the  $\text{NO}_3^-$  and  $\text{NH}_4$  concentration of that leachate from pots inoculated with  
2968 arbuscular mycorrhizae was up to 80% lower. Alfalfa producers directly enhance soil microorganism as  
2969 well. Prior to planting a new stand of alfalfa, soils are often inoculated with *Rhizobium* to promote  
2970 symbiotic N-fixation.

2971 More often, however, soil communities are managed by the indirect means of modifying their  
2972 environment. Management practices, as discussed above, will each have an effect on the chemical  
2973 properties of the soil environment, such as pH, oxygen, N, and C availability. Changing conditions has the  
2974 capacity to change microorganism diversity, and favor or suppress the activity of soil microorganism  
2975 diversity, with substantial effect on C stabilization and N cycling (Six et al. 2006; Brussaard et al. 2007).

2976 Whilst the functions of soil biodiversity are beginning to come into focus (e.g., Wardle et al.  
2977 2004), there are not many mechanisms to translate that knowledge into practical applications for  
2978 today's current agricultural systems (with at least one exception - use of arbuscular mycorrhizae in plant  
2979 phosphorus acquisition, Smith et al. 2011). Development and implementation of this approach requires  
2980 new research into the functional and technical aspects of how it would occur in the field. Thus, it is  
2981 unlikely to be a significant factor in helping California better manage N use or reduce saturation anytime

2982 soon. Active management of microorganisms is the foundation of N treatment in other industries and  
2983 public health concerns, e.g. wastewater treatment, however. A first step would be to identify the  
2984 plausible opportunities that could work at the field scale.

2985

## 2986 **A7.7 Genetic improvement**

### 2987 ***A7.7.1 Improve crop genetic material***

2988 Nitrogen use efficiency in plants is a function of the efficiency of uptake (recovery efficiency) and the  
2989 efficiency of utilization (physiological efficiency). Genetic traits determine a species N demand, ability to  
2990 recover soil N, and how well it utilizes it once it assimilates it. Not until recently has N use efficiency  
2991 become a subject of interest for plant breeders. Prior, other desirable traits were the objects of  
2992 selection (e.g., disease resistance, yield, or product quality). The consequence has been, in some cases,  
2993 an inadvertent selection against N use efficiency. For example, plant's ability to explore the soil and  
2994 uptake N is determined by its root system architecture. The root architecture depends on the species  
2995 but significant intra-specific variation of rooting depth, density, and branching has been documented (de  
2996 Dorlodot et al. 2007). Commercial lettuce cultivars maximize development of the head, or shoot, at the  
2997 expense of a vigorous root system. The small root system restricts the plant's ability to excavate N and  
2998 water (Burns 1991). Producers, in turn, must manage N for a crop that requires N in very significant  
2999 quantities with a root system less than the size of a football by timing inputs, a near impossible task.  
3000 Notice of the agricultural N-related resources degradation has prompted new research aimed to  
3001 genetically maximize N use efficiency (NUE) (Hirel et al. 2007).

3002 Genetic improvement of crop plants may contribute significantly to addressing N concerns in  
3003 California croplands in the short to medium term, less than 20 years. This is, in part, because  
3004 information on the processes controlling NUE in plants is still yet fragmentary.. Recently, application of  
3005 molecular tools has contributed to the more complete understanding of many underlying processes,

3006 such as: N transport, enzymatic reaction, and function (Good et al. 2004). Although mechanisms of  
3007 internal plant N utilization and recycling have been better described recently, rarely has genetic  
3008 improvement produced greater yields with less N. Genotype by environment interactions are common  
3009 demonstrating significant plasticity of the trait making experimental selection challenging (Hirel et al.  
3010 2007). Phenotypic plasticity underscores the challenge in selecting for high NUE and partly inhibits the  
3011 translation of results from controlled experiments to field conditions (Hirel and Lemaire 2006). Future  
3012 gains in crop NUE due to genetic improvement will require experiments that span agronomy,  
3013 physiology, and molecular genetics.

3014 The principle reason we believe that genetic manipulation can yield results for California soon is  
3015 simple. The majority of genetic NUE research centers on field crops (rice, wheat, canola, or corn) or  
3016 model species such as *Arabidopsis* or *Nicotiana*. Lessons learned from these systems may eventually  
3017 benefit California producers of those commodities; approximately 800,000 ha or 38% of the cropland,  
3018 which do have a large impact on groundwater  $\text{NO}_3^-$  contamination. But still greater emphasis examining  
3019 NUE in vegetables and trees is needed for the effect of genetic improvement to include the bulk of  
3020 future cropped area.

3021

#### 3022 ***A7.7.2 Breed animals for high feed conversion efficiency***

3023 Feed conversion is the amount of feed required to produce one unit of product where the product can  
3024 be eggs, meat, wool, or milk. As feed conversion efficiency improves, less feed is required per unit  
3025 output. This translates into a reduced need for farmland to grow feed inputs as well as reduced nutrient  
3026 excretion (manure). Genetic improvement provides one way to improve feed conversion on livestock  
3027 and poultry farms.

3028 Genetic improvement of farm animals has historically improved feed conversion, produced  
3029 higher yields more rapidly, and resulted in less manure generated. The most significant advances have

3030 perhaps come in broiler breeding. Comparison of the Athens-Canadian random bred control (ACRBC), a  
3031 common breed from the late 1950s, and the Ross 28 broiler, current breed, provides evidence of the  
3032 potential benefits (Havenstein et al. 2003a,b; Cheema et al. 2003). The Ross 308 broiler on the 2001  
3033 feedstuffs was estimated to have reached 1,815 g body weight at 32 d of age, whereas the ACRBC on  
3034 the 1957 feed would not have reached that body weight until 101 d of age. The shorter age to market  
3035 resulting from improved feed conversion would require far less feed input (and associated land to grow  
3036 the feed) to achieve similar product and have markedly less manure output. Comparisons of carcass  
3037 weights of the Ross 308 on the 2001 diet versus the ACRBC on the 1957 diet showed they were 6.0, 5.9,  
3038 5.2, and 4.6 times heavier than the ACRBC at 43, 57, 71, and 85 d of age, respectively. The authors  
3039 attributed that 85% of the improvement in feed conversion. Improved performance has come at a cost.  
3040 Concordant to increased growth rates, there has been a decrease in the adaptive immune responses  
3041 (Cheema et al. 2003). Dairy production has also benefited from genetic improvement of animals. By one  
3042 estimate, 57% of the increase in milk yield between 1957 and 1997 in the US was the result of better  
3043 genetics (Cassell 2001). Nation-wide genetic improvement has led to fewer dairy cows, less feed, and  
3044 less manure while supporting the demand for dairy products (Capper et al. 2008).

3045         The potential for genetic improvement to yield additional benefits for managing N in animal  
3046 production is not significant in the short term.

3047

#### 3048 **A7.8 Animal nutrition and feed management**

3049 Protein nutrition influences productivity, profitability, and the efficiency of N use in cattle and poultry  
3050 production systems. Production of milk, meat, and eggs are correlated with crude protein intake  
3051 (Kebreab et al. 2001; Bailey et al. 2008; Sterling et al. 2002). It is important to supply protein in sufficient  
3052 quantities to support growth and development. When diets are formulated for specific protein and  
3053 amino acid requirements, bioavailability of N and assimilation improve (Powell et al. 2010; Vandehaar

3054 and St. Pierre 2006; Huhtanen and Hristov 2009; Nahm 2002). Consequently, an increase in resource use  
3055 efficiency takes place.

3056 Feed utilization efficiency has multiplicative impacts on N cycling within the animal production  
3057 unit and croplands. The amount and form of N excretion is influenced by the type and degradability of  
3058 protein and energy source in the diet. For example, increasing the energy concentration of the diet and  
3059 using low degradable starch sources such as corn in concentrates could reduce not only the total  
3060 amount of N in excreta but also the proportion of N in urine (Kebreab et al. 2002), which in turn reduces  
3061 ammonia emissions.

3062 Feed utilization efficiency also decreases the total demand for animal feeds (assuming livestock  
3063 production remains constant). Coincidentally, N emissions from feed production and transportation are  
3064 reduced. At the same time, less N excretion takes place reducing the disposal/recycling burden on land  
3065 and emissions. Meyer and Robinson (2007) provide an illustration of the benefits of feed management  
3066 on manure handling. The authors inventoried feed stuffs and feed management at seven dairies in  
3067 California and found that dairies operated between 16 and 27% N utilization efficiency. That means that  
3068 for every 1,000 kg of N fed, 840 kg of N are excreted at the least efficient dairy while only 730 kg of N for  
3069 the most efficient dairies. The consequence is that the less efficient dairies require 15% more land for N  
3070 application or that the more efficient dairy could milk 15% more cows with the same amount of land  
3071 assuming the same application rate and efficacy of organic N use. With manure handling practices  
3072 remaining the same, less N excretion could potentially reduce emissions because most emissions are in  
3073 part related to the amount of N excreted.

3074 With more than 2.4 million cattle and 350 million birds on feed year-round and up to 2.6 million  
3075 cattle on supplemental feed in California, feed management presents considerable potential for  
3076 reducing direct and indirect N emissions due to California's animal feeding operations. But the  
3077 magnitudes of the benefits are hard to characterize because few data are available to evaluate animal

3078 feeding practices in California. Because of this, the discussion here will be restricted to cattle. Castillo et  
3079 al. (2005) surveyed feed management practices on 51 randomly selected dairy operations in Merced  
3080 county and found crude protein contents of lactating cows diets averaged  $17\% \pm 1.19$  (SD). This finding  
3081 suggests that the average operation is not overfeeding N; the National Research Council (2001)  
3082 recommendation for crude protein consumption in lactating dairy cows is 16.5%. Precision feeding of N  
3083 is the matching of crude protein with physiological requirements. Castillo et al. (2005) survey  
3084 demonstrates that the dairies feeding more than one diet had higher N utilization and dairies feeding  
3085 three and four diets had statistically significantly higher N utilization than those feeding uniformly  
3086 (Figure A7.1).

3087 [\[Figure A7.1\]](#)

3088 However, feed management rarely accounts for the differential requirements of animals during  
3089 various points in their lifecycle well. Calves, dry, and lactating cows demand a different amount of crude  
3090 protein. If fed the same diets, that is only altering dry matter intake, overfeeding of N results causing  
3091 increased N excretion. Recognition of the variable needs of cattle has led to calls to increase staged or  
3092 precision feeding (Meyer and Robinson 2007). Most animal operations formulate diets to provide  
3093 minimum required nutrient concentrations at the least cost. Because protein is among the most  
3094 expensive ingredients, their use is generally tightly monitored. Despite close attention, N is sometimes  
3095 fed in larger quantities than required to meet physiological demand. This is especially problematic with  
3096 low cost by-product feeds, which are often of variable composition (DePeters et al. 2000). An  
3097 increasingly important concern is the use of distiller's grains as a feed. Distiller's grains are a byproduct  
3098 of ethanol production and are commonly fed to cattle because of their low cost and high nutrient  
3099 concentration, which tends to be two to three times as high as unprocessed grains (Belyea et al. 2004).  
3100 Without reformulation, diets quickly exceed N assimilatory capacity of the animals and excess N is

3101 excreted. Hao et al. (2009) shows that  $\text{NH}_4$  composition of manure increases with increased  
3102 consumption of distiller's grain.

3103       Feed management includes the use of dietary additives to enhance production. The additives  
3104 may be yeasts, enzymes, microbials, ionophores, or proprietary materials. Some additives are well  
3105 researched, and their mode of action is well defined. Other additives have undergone less rigorous  
3106 research and little is known of their efficacy in the animal or their subsequent impact on the  
3107 environment. The most widely researched and publicized supplement is rBST. Some evidence indicates  
3108 that this hormone decreases the protein requirements for maintenance and lactation by 3.2% and N  
3109 excretion by 9.1% per kg of milk production (Capper et al. 2008). However, consumers have raised  
3110 concerns over its use and subsequent transmission into the food supply. Less than 10% of the milk  
3111 produced in California uses rBST and its future use is expected to continue to decline (D. Meyer,  
3112 personal communication). Additives and supplements have been important in reducing the  
3113 environmental impact of poultry production. Gains are the consequence of widespread feeding  
3114 supplementation. Addition of amino acids and growth promoting substances resulted in reduced N  
3115 excretion between 5 to 35% in poultry depending on the feeding strategy (Nahm 2002)

3116       When considering feed management/NUE of California animals, it is important to remember  
3117 that the role of animals in the broader agricultural ecosystems and the impact it has on diet formulation.  
3118 California cattle and dairy cows, in particular, serve an essential recycling function. A significant fraction  
3119 of their diets can be derived from consumption of agricultural byproducts, with variable and often less  
3120 known N concentration. In this way, they concentrate and consolidate N from agricultural industries  
3121 throughout the state (DePeters et al. 2000). Without them, a significant amount of N would have to be  
3122 handled, processed, and disposed of by other means. Furthermore, ethanol production creates access to  
3123 cheap protein (N) source, distiller's grains. Use of this feedstuff complicates diet formulation due to the

3124 near double N content of unprocessed grains increasing excretion and emissions (Hao et al. 2009,  
3125 Chapter 7).

3126

### 3127 **A7.9 Manure management**

3128 Manure management typically refers to the practices used to handle animal waste following excretion.

3129 In fact, planning for manure nutrient recycling and disposal should begin prior to excretion, with protein  
3130 management. But here, we restrict the discussion to the methods for handling manure N itself and

3131 discuss it within the context of manure management trains—collection, storage, treatment, and land

3132 application<sup>17</sup>. Understanding the process underlying the individual component practices is important;

3133 however, manure handling requires sets of practices to conserve manure N for land application and

3134 thus, in practice, a whole farm approach is necessary if emissions are to be controlled (Castillo 2009;

3135 Powell et al. 2010). It is precisely because of this reason that practices that do not necessarily change N

3136 characteristics but do enable greater management capacity of manure N, such as liquid-solid separation,

3137 are discussed.

3138

#### 3139 ***A7.9.1 Collect manure more frequently***

3140 Manure collection in animal feeding operations aggregate N for storage, treatment, and later

3141 application to crop fields. Collecting manure more frequently after it is deposited in barns and open lots

3142 will almost certainly decrease N emissions, although data are generally insufficient to quantify the

3143 extent. Reductions result from moving the fecal and urinary N from a location with an environment

3144 amenable for NH<sub>3</sub> volatilization to one where chemical and physical processes are more easily

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<sup>17</sup> This discussion draws heavily on the recent stakeholder process, “An Assessment of Technologies for Management and Treatment of Dairy Manure in California’s San Joaquin Valley” and we recommend this publication as further reading for those interested in these issues (TFASP, 2005). Additional discussion on land application of manures can be found in the section on using organic wastes.

3145 manipulated to create less hospitable conditions. Frequent flushing in freestall barns transfers the highly  
3146 volatile urinary N into anaerobic conditions (lagoons) where pond pH and depth determine volatilization  
3147 rates (Mukhtar et al. 2012). Since dairy operators flush freestalls with recycled lagoon water (rich in  
3148  $\text{NH}_4$ ), increased flushing frequency may cause a marginal amount of additional volatilization. The  
3149 increase is likely negligible and far outweighed by removing the manure more rapidly from the barn  
3150 surface. Frequent removal of manure helps control emissions from solid manure too. Corrals, open lots,  
3151 and poultry houses are vulnerable to volatile, and somewhat susceptible to leaching, losses because of  
3152 the high rates of N excretion, concentrated spatial distribution of urine and feces, and constant mixing  
3153 of the soil surface by animal movement. (Chang et al. 1973; Hristov et al. 2011; Xin et al. 2011). Frequent  
3154 removal to longer-term storage and treatment processes (i.e., composting or drying) decreases the  
3155 emissions from housing areas; however, the larger N load transported into other components means  
3156 there is an elevated risk of emissions from these farm components (Rotz 2004).

3157 Economic, operational, and regulatory considerations constrain the frequency of manure  
3158 collection in California. Manure is bulky and heavy. Moving it, even over short distances, represents a  
3159 significant undertaking. More regular collection will increase demand for labor, fuel, and machine time  
3160 decreasing net profits. Even if the costs were not limiting, infrastructure restricts the rate of manure  
3161 collection at many animal feeding operations. Storage and treatment facilities (e.g., lagoons, solid-liquid  
3162 separators, drying pads) have a finite capacity and often operate near their limits. Structural expansion  
3163 may be necessary to accommodate additional volume due to greater collection regimes. Economic and  
3164 operational concerns aside, current and impending regulations for N and other pollutants dictate  
3165 collection practices that may be complementary or antagonistic for N control. For example, dairy  
3166 farmers in the Central Valley are already required to collect manure one to four times daily to control  
3167 volatile organic compounds (VOC) (Stackhouse et al. 2011). The effect of more frequent manure

3168 collection on NH<sub>3</sub> volatilization is unknown, but the potential tradeoffs or synergies illustrates the need  
3169 to consider multiple pollutants jointly.

3170 In spite of the potential downstream emissions pressure and the functional challenges, more  
3171 frequent collection would likely have net benefits for environmental N pollution. At this time, it is  
3172 impossible to know the magnitude of the impact for the environment or for farming practices and  
3173 economics.

3174

### 3175 ***A7.9.2 Nitrification inhibitors***

3176 Use of nitrification and urease inhibitors to control gas emissions has received increased attention  
3177 recently (see discussion on enhanced efficiency fertilizers above). The chemical compounds that arrest  
3178 or retard N transformations in soil have been tested on feedlots and in poultry houses. In both  
3179 situations, urease inhibitors have proven effective to reduce NH<sub>3</sub> emissions. Parker et al. (2005) applied  
3180 it in beef feedlots and documented 49% to 69% reductions in NH<sub>3</sub> depending on the rate of application.  
3181 But the relative efficacy is temporary, lasting only 7 to 14 days in one study (Singh et al. 2009).  
3182 Nitrification inhibitors can also reduce N<sub>2</sub>O emissions from both fertilizers and manure (Akiyama et al.  
3183 2010; Dittert et al. 2001). Akiyama et al. (2010) report that nitrification inhibitors reduce N<sub>2</sub>O emissions  
3184 from N fertilizer by an average of 38% across a wide range of inhibitor chemicals, N sources, and land  
3185 use types. Likewise 3,4-dimethylpyrazole phosphate reduced N<sub>2</sub>O following manure slurry applications  
3186 by 32% (Dittert et al 2001). Use of nitrification inhibitors in manure management systems of California is  
3187 extremely limited, likely due to cost and climate. However, there is no research on when and where and  
3188 how they might be effective for California producers.

3189

### 3190 ***A7.9.3 Separate solids from liquids***

3191 Solid-liquid separation systems are designed to divide manure by the phase of the material. The  
3192 purpose is to segregate the manure into more homogenous components, in both form and  
3193 constituency. Handling and treatment of individual fractions can then be specifically tailored for its  
3194 composition and characteristics more easily. Liquids can be transferred more readily through the system  
3195 without clogging pumps and pipes. Solids can be scraped, composted, applied as bedding, and  
3196 potentially manifested off-site. Because the form of the N in the solid and liquid fractions of manure  
3197 differs, with solids containing mostly organic N which is bound to C and more stable in the environment  
3198 and liquids containing mostly urea and  $\text{NH}_4$  which is highly reactive and vulnerable to volatilization,  
3199 operators can take advantage of nutrient value and control future N dynamics more readily. In short,  
3200 separation enhances manageability.

3201 Multiple factors affect division of the solid from the liquid fraction. Inherent system properties—  
3202 such as flow rate, characteristics of manure, particle size and nutrient load—influence the relative  
3203 distribution of N in effluent and solids (Zhang and Westerman 1997). Meyer et al. (2004) evaluated the  
3204 efficiency of a “weeping-wall” separation system in California and found no significant reduction in the N  
3205 between the influent and effluent; the N remained in the wastewater. A recent study on a Texas dairy  
3206 using a two-chamber gravity separation system shows a minor reduction of 10% less N in wastewater  
3207 effluent (Mukhtar et al. 2011). Mechanical separators, by comparison, separate a greater fraction of the  
3208 N into solids. Data suggests mechanical separators separate as much as 51% of total Kjeldal N into solids,  
3209 but particle size governs the actual efficacy (Zhang and Westerman 1997). As one might expect,  
3210 mechanical separators are less capable of transferring N contained in smaller particles. Addition of  
3211 various chemicals to wastewater enhances solid and liquid separation. Synthetic polymers (flocculants)  
3212 react with fine particulate to coagulate which then settle over time. Common flocculants are often  
3213 related to polyacrylamide (PAM) which has also been used in irrigated cropland to reduce runoff of  
3214 sediments and nutrients (Barvenik 1994). Experiments have demonstrated their effectiveness for

3215 aggregating N into the solid manure (Hannah and Stern 1985). Zhang et al. (1998) show that adding  
3216 ferric chloride and a polymer to dairy manure in California can remove 67 to 69% of N from liquid.

3217 Sedimentation basins and mechanical separation systems are common practice on California  
3218 dairies (Meyer et al. 1997). More than 63% of dairies used some form of manure separation technology  
3219 in 2007 (Meyer et al. 2011). Manure separation with sedimentation basins, mechanical separators,  
3220 flocculants, or a combination of the practices provides greater control over manure N. At production  
3221 scale, separation creates burdensome requirements for labor and equipment. Refining and cleaning the  
3222 equipment and the basins requires intensive management, with the management intensity being  
3223 correlated with technology sophistication. However, current levels of adoption suggest utilization is  
3224 practically feasible for operators. More detailed information will be needed to optimize their utilization  
3225 and understand their benefits for N cycling.

3226

#### 3227 ***A7.9.4 Compost manure solids and other organic materials***

3228 Composting—the anaerobic digestion of wastes—stabilizes N contained within organic wastes by  
3229 transferring it into soil organic matter, where it less available to soil microorganism and hence  
3230 vulnerable to loss. Although often ignored, even under ideal composting conditions a fraction of the N in  
3231 the compost is released as  $\text{NH}_3$  and  $\text{N}_2\text{O}$  during biological immobilization and through chemical reactions  
3232 and thus composting can contribute to atmospheric and climate concerns (Ahn et al. 2011). The fate of  
3233 N during waste composting is subject to the physical and chemical composition of the compost pile:  
3234 aeration, C/N ratio, moisture, pile structure, pH, and temperature. Through modification of these  
3235 variables, facility operators can control the rate of digestion. Differential management changes the  
3236 physical properties of the pile and by extension, N emissions. Evidence suggests that  $\text{N}_2\text{O}$  emissions are  
3237 nearly double in turned windrows than in static piles, 2% versus 1% of N (Ahn et al. 2011). Increased  
3238 emissions are possibly the result of redistribution of N throughout the pile and greater gas diffusion. The

3239 multitude of driving factors and control environment suggest there are likely opportunities to conserve  
3240 N in composts by changing management.

3241 Composting represents an important component of California’s N cycle. It is one of the  
3242 fundamental steps prior to recycling nutrients in organic wastes to land. Manures and urban green  
3243 wastes are already widely composted throughout California, with the vast majority (77%) of composting  
3244 facilitates using turned windrows (TFASP 2005). Despite the uniformity of method, individual  
3245 composters manage the piles to different degrees. That suggests improved compost pile management  
3246 may provide an opportunity to mitigate N emissions.

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3259 **Appendix 7B: Supporting material: Explanation of calculations and evaluating**  
3260 **uncertainty**

3261

3262 **B7.0 Introduction**

3263 The changes to California’s nitrogen cascade expected from adopting the strategic actions in Chapter 7  
3264 are summarized in Table 7.6. Here, we explain the calculations underlying these values.

3265 Estimates are generally calculated as the difference between the baseline N flows established by  
3266 *A California nitrogen mass balance for 2005* (Chapter 4), and technically feasible relative changes set by  
3267 research. Whenever possible, estimates of the expected changes with improved practices rely on data  
3268 and emissions factors derived from California-specific peer-reviewed, grey literature, and novel data  
3269 compilations completed as part of this assessment. A few calculations, however, require emissions data  
3270 for which California-specific studies were unavailable. Under those instances, we applied the most  
3271 widely accepted values. A list of the emissions factors used and their sources can be found in Table B7.1.

3272 [\[Table B7.1\]](#)

3273

3274 **B7.1 Agricultural nitrogen use efficiency**

3275 ***B7.1.1 Crop production***<sup>18</sup>

3276 Measures of nitrogen use efficiency (NUE) are ratios of the amount of N assimilated to the amount  
3277 applied. Assuming output remains constant, increased NUE will result in less N fertilizer applied. We  
3278 compiled data from published and unpublished research results to estimate N use efficiency by partial

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<sup>18</sup> Raising NUE on croplands affects indirect emissions from fertilizer production and transport. But it also has concordant impacts on N<sub>2</sub>O emissions and NO<sub>3</sub> leaching and thus this section also describes methods used to calculate reduction potentials for “Nitrate leaching from croplands” (Section 8.2.2.2) and “Greenhouse gas emissions from fertilizer use” (Section 8.2.2.3).

3279 nutrient balance (PNB) for the 22 most economically important California crops<sup>19</sup> (Table B7.2). After  
3280 eliminating the zero-N and excessive N treatments common in N rate trials, we used the median values  
3281 for yield and N application rate as reasonable benchmarks as the potential PNB with improved practice.  
3282 We ignored the low and high N rate treatments because of their potential to bias the median value.  
3283 Data on fertilizer application rates by crop was taken from Rosenstock et al. (2012) to create a weighted  
3284 average of N use for each crop group and then used the USDA acreage of aggregated crop groups to  
3285 estimate the total potential change. Avoided emissions due to the reduced N fertilizer use are discussed  
3286 in relevant sections.

3287 [\[Table B7.2\]](#)

3288

### 3289 ***B7.1.2 Animal production***

3290 The capacity to improve feed N efficiency in California animal production was based on two studies of  
3291 feeding practices in dairies. Surveys of six and fifty-one dairy operators have separately been conducted,  
3292 one in each of the two major dairy producing areas of the state (Tulare and Modesto), respectively.  
3293 Their results are consistent with each other, average milk N utilization efficiency equals 23% and  
3294 efficiency ranges between 27% to 30% for more efficient producers (Meyer and Robinson 2007; Castillo  
3295 et al. 2005). The difference between average and more efficient producers suggests a conservative  
3296 estimate of the potential to raise milk N utilization efficiency in dairy production is four percentage  
3297 points.

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<sup>19</sup> PNB is used in lieu of other measures of NUE because of the need to compare statewide average data to research results that may or may not have been specifically designed to test NUE. PNB does not discriminate between the source of N, be it from soil mineralization or fertilizer. Thus, the values derived from literature may be an overestimate if soils were fertile or underestimate if they were not. Regardless, a basic premise of sustainable nutrient management is to balance nutrient exports with applications.

3298 We assumed that changing N utilization efficiency will not affect milk yield and milk N  
3299 concentration is reasonably constant. Therefore we can calculate the change in efficiency on feed N  
3300 demand by the following

3301

3302  $TMN = FN * FE,$

3303

3304 where, TMN equals total milk N, FN equals the feed N, and FE equals the feed N efficiency. By this  
3305 equation and the assumptions about milk N concentration and milk N yield, a four percentage point  
3306 increase in N utilization efficiency would reduce feed N demand to 85% of current levels.

3307 We then calculated the potential changes in the N cascade from reduced feed demand. We  
3308 assumed dairy cows eat a diet consisting of 50% legume and 50% grain. Only non-leguminous feed crops  
3309 were assumed to be produced with fertilizer. Crops produced with fertilizer were produced with an NUE  
3310 of 45% to calculate fertilizer applied<sup>20</sup>. Leaching and gaseous emissions were calculated based on N  
3311 applied for crops receiving fertilizer and a ratio of 30 kg N per ha leached for 360 kg fixed N<sup>21</sup>.

3312 We assumed the data and methods developed for dairy cows are applicable for all animal  
3313 production systems and hence total feed N demand in California. Extending the results from dairy  
3314 production systems to all animals is reasonable for two reasons. One, feed requirements of dairy cows  
3315 dominate total feed N in the state, accounting for 81% of total demand. Two, and perhaps more  
3316 importantly, the target change in milk N utilization efficiency was low, four percentage points, and likely  
3317 represents a lower bound for other animal production systems in the state. For example, Nahm (2005)  
3318 suggests that a greater than 60% N utilization efficiency is achievable in poultry, yet California mass

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<sup>20</sup> NUE based on California specific data described in Chapter 3. Average NUE values in California are generally similar to those found in other regions (Cassman et al. 2002).

<sup>21</sup> 30 kg N leached is based on average leaching values in alfalfa measured in tile drains of California by Letey et al. (1979). 360 kg per ha is average production on alfalfa (Putnam et al. 2005).

3319 balance calculations and research of similar systems from other locations suggest N utilization efficiency  
3320 is less than 40% (Chapter 4; Neijat et al. 2011).

3321

## 3322 **B7.2 Ammonia volatilization from manure**

3323 With increased feed N efficiency, N excretion will decrease. We applied a simple linear equation  
3324 developed in a California feed study to estimate the changes in N excretion with changes in efficiency.

3325 The impact of decreased feed intake on excretion was calculated following Castillo et al. (2005):

3326

3327  $N \text{ excretion} = 0.9 * N \text{ intake} - 89$

3328

3329 A certain degree of volatilization from manure is inevitable, but manure management practices  
3330 have a large impact on the quantity released (Rotz 2004). The Committee of Experts of Dairy Manure  
3331 Management<sup>22</sup> (Chang et al. 2005) estimate emissions in the Central Valley by three different methods.  
3332 By their measures, total volatile emissions from the production area and land application combined are  
3333 likely to be between 25% and 50% of total excreted N<sup>23</sup>. The emission rate from any single operation  
3334 may occur throughout this range and it is reasonable to assume that either the extremely high and low  
3335 emission rates occur less frequently. Therefore, the distribution of volatilization rates across the near  
3336 2000 dairies in California can be approximated by a normal distribution with mean 37.5 and standard  
3337 deviation 6.75. That means, that emissions rates for 95% of the operators will fall within 25% to 50%,  
3338 with most operations emitting around 37.5% of excreted N as NH<sub>3</sub>. It also means that producers

---

<sup>22</sup> Volatilization is correlated with N excretion (James et al. 1999; Oenema and Tamminga 2005). Estimates are again based on dairy production because it is responsible for the vast majority of manure N and by extension manure derived atmospheric NH<sub>3</sub>. Emissions per unit of product for cattle on feed and poultry are likely to be higher and lower, respectively because of efficiencies and excretion.

<sup>23</sup> The findings suggest that a California dairy emits 20% to 40% of excreted N as NH<sub>3</sub> from the production unit itself. Field emissions of 10% to 20% of NH<sub>4</sub>-N or 5% to 10% excreted manure N, assuming 50% of the N in manure is in this form.

3339 operating in the top quartile of production emit approximately  $\leq 33\%$ . Since 25% of the operators are  
3340 already operating above this level, we presume that it is technologically feasible to shift the total  
3341 distribution in two ways. One, improve management that shifts the mean emission rates from 37.5% to  
3342 33%. Two, narrow the range of emissions by reducing the standard deviation to 3.5. The latter shift  
3343 means that 95% of producers will volatilize between 26% and 40% of excreted N (Figure B7.1).  
3344 Narrowing the distribution in this way assumes that there is a theoretical limit for potential best practice  
3345 (approximately 25%) and excessive emitters have the greatest potential to improve (roughly 20%  
3346 decrease) (Figure B7.1).

3347 [\[Figure B7.1 \]](#)

3348 We then created a simulation program, coded in the statistical program R, that estimated  
3349 manure N volatilization. First, we randomly sampled each distribution one time for each dairy in the  
3350 state to obtain the projected emissions rates for each dairy. Second, we multiplied each rate by the  
3351 amount of manure N produced at an average dairy<sup>24</sup>. Third, we subtracted the N volatilization from the  
3352 improved from the original practice. We repeated this program 5000 times to obtain a mean and range  
3353 of potential values of NH<sub>3</sub> emissions reductions.

3354

### 3355 **B7.3 Nitrate leaching from croplands**

3356 Nitrate leaching reductions equal the sum total of avoided NO<sub>3</sub> leaching losses from improved  
3357 management of inorganic and organic N sources on croplands.

3358

$$3359 \text{NO}_3\text{-N} = [\text{N}_F * \Delta\text{PNB}_{\text{INORG}} * \text{EF}_{\text{CA NO}_3 \text{ INORG}}] + [\text{N}_{\text{MAN}} * \Delta\text{PNB}_{\text{ORG}} * \text{EF}_{\text{CA NO}_3 \text{ ORG}}]$$

3360

3361 where,

---

<sup>24</sup> For simplicity, we assumed that dairies all had equal number of cows

3362

3363  $\text{NO}_3\text{-N}$  = annual amount of avoided  $\text{NO}_3\text{-N}$  losses from croplands3364  $N_F$  = estimated inorganic fertilizer application on croplands3365  $\Delta\text{PNB}_{\text{INORG}}$  = change in the ratio of N in crop material exported from the field to the amount of N applied  
3366 from inorganic sources, expressed as a decimal3367  $\text{EF}_{\text{CA NO}_3 \text{ INORG}}$  =  $\text{NO}_3$  leaching emissions factor for California with inorganic fertilizer3368  $N_{\text{MAN}}$  = amount of organic manure applied to croplands3369  $\Delta\text{PNB}_{\text{ORG}}$  = change in the ratio of N in crop material exported from the field to the amount of N applied  
3370 from organic sources, expressed as a decimal3371  $\text{EF}_{\text{CA NO}_3 \text{ ORG}}$  = emissions factor derived from research with organic sources in California, median of solid  
3372 and liquid manure assume a 50-50 split in handling

3373

3374  $\text{NO}_3 = \text{NO}_3\text{-N} * (62/14)$ 

3375

3376 *Inorganic fertilizers:* Avoided leaching losses were estimated as the amount not leached due to  
3377 increased NUE (see above) multiplied by the California-specific emissions factor developed as part of the  
3378 California Nitrogen Assessment, 34% of N applied, a slightly higher amount than suggested by the IPCC,  
3379 30% of N applied.

3380

3381  $\text{NO}_{3\text{INORG}} = \Delta\text{NUE} * \text{fertilizer N} * \text{EF}_{\text{CA LEACHING}} * \text{Molecular conversion}$ 

3382

3383 *Organic fertilizers:* we assume organic fertilizers (animal manures, composts) are currently being applied  
3384 at an average of 60.5% PNB or 1.65x plant uptake. It is important to note that 1.65x uptake may  
3385 represent unrealistic goals for agricultural systems using organic N fertilizers; however, this value

3386 represents the maximum bound set by the Central Valley Regional Water Quality Control Board  
3387 (CRWQCB 2010) and thus presents a reasonable baseline for this theoretical discussion/analysis.  
3388 Emission reductions result from decreasing applications to 1.4x plant uptake or 71% PNB, as follows in  
3389 the equation:

3390

$$3391 \text{NO}_3_{\text{INORG}} = \text{Manure N} * \Delta\text{PNB} * \text{EF}_{\text{CA LEACHING}}$$

3392

#### 3393 **B7.4 Greenhouse gas emissions from fertilizer use**

3394 Nitrous oxide reductions equal the sum total of avoided N<sub>2</sub>O losses from improved inorganic fertilizer  
3395 management on croplands.

3396

$$3397 \text{N} = [\text{N}_F * \Delta\text{PNB}_{\text{INORG}} * \text{EF}_{\text{CA N}_2\text{O}}] * \text{Molecular conversion}$$

3398

3399 where,

3400

3401 N<sub>2</sub>O = annual amount of avoided NO<sub>3</sub>-N losses from croplands

3402 N<sub>F</sub> = estimated inorganic fertilizer application on croplands

3403 ΔPNB<sub>INORG</sub> = change in relative amount of N uptake from inorganic sources, expressed as a decimal

3404 EF<sub>CA N<sub>2</sub>O</sub> = NO<sub>3</sub> leaching emissions factor for California with inorganic fertilizer

3405

#### 3406 **B7.5 Nitrogen oxide emissions from fuel combustion**

3407 As part of the Statewide Implementation Plan (SIP) to achieve ozone and PM<sub>2.5</sub> attainment, CARB

3408 developed estimates of potential NO<sub>x</sub> reductions. We report their estimates for 2014 for the San Joaquin

3409 Valley and South Coast and 2018 for the Sacramento Valley as measures of potential emissions  
3410 reductions within the current technology and policy envelope (Table B7.3).

3411 [\[Table B7.3\]](#)

3412

## 3413 **B7.6 Wastewater management**

### 3414 ***B7.6.1 Wastewater treatment plants***

3415 Approximately 90% of wastewater is processed at centralized wastewater treatment plants. Currently,  
3416 our best estimate is that 50% undergoes N treatment (Chapter 3)<sup>25</sup>. Therefore, we assume that 50% of  
3417 the total wastewater N load passing through wastewater treatment plants (161.1 Gg N) is treated and is  
3418 denitrified at a rate of 97%<sup>26</sup> already (78.1 Gg N). A reasonable near-term goal may be a 10% increase in  
3419 treatment to 60% of influent. From that assumption, an additional 16.1 Gg would be treated, equating  
3420 to 15.6 removed from wastewater and denitrified to N<sub>2</sub> and 0.5 Gg N released as N<sub>2</sub>O. We ignore the  
3421 indirect emissions from denitrification that occurs in N rich ocean environments (Seitzinger et al. 2006).

### 3422 ***B7.6.2 Onsite wastewater treatment systems***

3423 Few onsite wastewater treatment systems (OWTS) in use today directly treat for N. Without treatment;  
3424 it is reasonable to expect minimal, say 4% N attenuation. By comparison, current OWTS designed to  
3425 remove N achieve 40% N removal rates, at least—but often much higher (Oakley et al. 2010). We  
3426 calculated the effects of switching to improved OWTS via the following:

3427

$$3428 N_{\text{OWTS}} = N_{\text{C-OWTS}} * (1 - R_{\text{b,OWTS}})$$

3429

---

<sup>25</sup> It is not possible with the available data to know what extent facilities equipped with N removal capacity utilize it.

<sup>26</sup> 97% efficacy is used to account for fraction of N<sub>2</sub>O produced. One review suggests emissions rates between 1 and 5%, we used the median of 3%.

3430 and

3431

3432  $\Delta N_{\text{OWTS}} = (N_{\text{C-OWTS}} * (1 - R_{\text{i,OWTS}})) - (N_{\text{C-OWTS}} * (1 - R_{\text{b,OWTS}}))$

3433

3434 where,

3435

3436  $N_{\text{OWTS}}$  = N loading from OWTS

3437  $N_{\text{C-OWTS}}$  = Current N loading (17.9 Gg N, 10% of wastewater N)

3438  $R_{\text{b,OWTS}}$  = Removal rate of current systems<sup>27</sup>

3439  $R_{\text{i,OWTS}}$  = Removal rate with improved technology

3440

3441 Only a fraction of the systems in use—poorly sited or mismanaged—need to be retrofitted or replaced  
3442 because of their prospect to degrade natural resources. Currently, the total number of systems needing  
3443 reconditioning is unknown. We therefore calculated changes in emissions for a range of reconditioning  
3444 (20%, 40%, 80%) and removal efficacy (40%, 60%, 80%). This provides a quantitative range of the  
3445 potential emissions reduction that might be expected (Table B7.4).

3446 [\[Table B7.4\]](#)

---

<sup>27</sup> Note  $R_{\text{b,OWTS}}$  is assumed to be zero in Chapter 4. Here we assume a limited amount of natural environmental attenuation.

3447 **References** for this appendix are found in the reference list for Chapter 7.

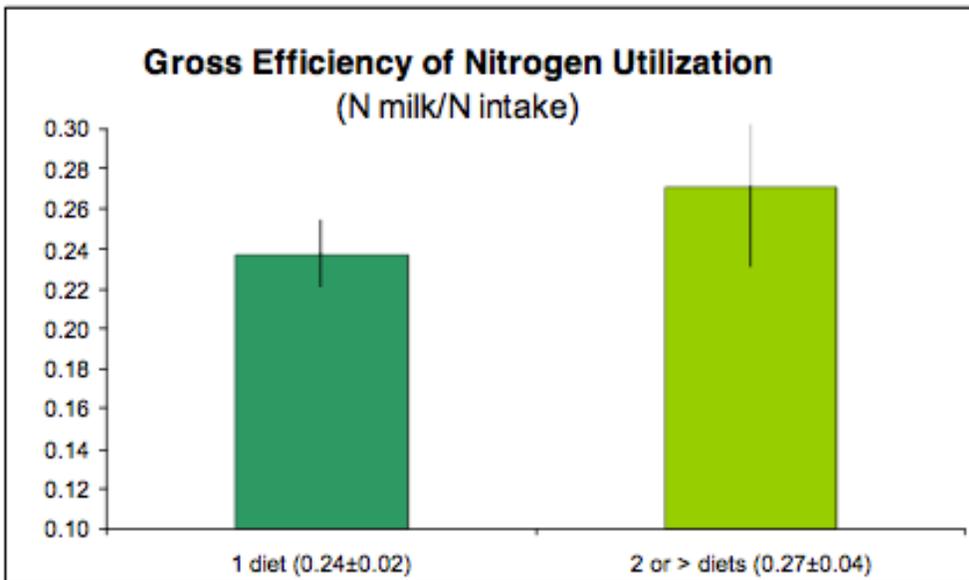
Draft: Stakeholder Review

3448 **Box A7.1 Why a qualitative, not quantitative, assessment** [\[Navigate back to text\]](#)

3449 The California Nitrogen Assessment takes a qualitative and not quantitative approach to its assessment  
3450 of individual agricultural management practices and technologies capacity to regulate the N cascade. A  
3451 qualitative assessment was justified for two reasons. Firstly, California production conditions are unique,  
3452 both in climate and management. Site characteristics significantly influence the fate of N and the  
3453 efficacy of any practice. Extrapolation from research from other areas is not necessarily appropriate.  
3454 With the limited research under California conditions, and even smaller evidence pool when considering  
3455 the dramatic changes in production in the last 20 years, it is more reasonable to evaluate the potential  
3456 effectiveness of practices from a theoretical perspective than empirical. The second and perhaps more  
3457 important reason is that management practices and technologies are not distinct. Interactions among  
3458 practices make it challenging to quantitatively isolate the effects of a given change in management.  
3459 Reductionist research can help with this. However, farmers implement practices and technologies in  
3460 bundles. Multiple factors may be changed simultaneously and have synergistic or antagonistic effects on  
3461 N flows. And therefore estimates of the impact of a single change are meaningless, in practice.

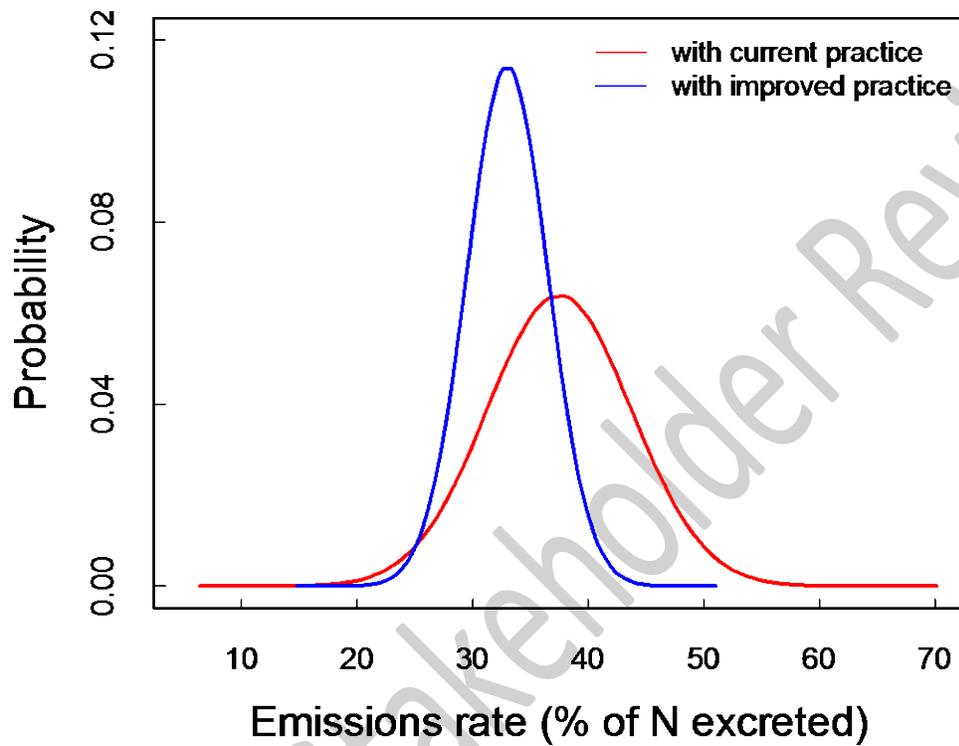
3462 **Figure A7.1 Nitrogen utilization efficiency of 51 dairies in Modesto.** Source: Castillo et al. 2005.

3463 [\[Navigate back to text\]](#)



3464

3465 **Figure B7.1. Distributions used to calculate potential reduction of NH<sub>3</sub> volatilization from manure**  
3466 **handling.** Current practice based on dairy production in the Central Valley. [\[Navigate back to text\]](#)



3467

3468

3469 **Figure B7.2. R Code to simulate estimated reduction in NH<sub>3</sub> volatilization from manure with improved**  
3470 **management practices.**

```
3471
3472 ndairies = 2165 #sets default number of dairies in state
3473 Nbase = 404 #sets baseline of manure produced Gg N #(Chapter 4)
3474 redN = 0.9 * 418 - 89 #reduces the amount of manure produced based on improved feeding practices
3475       (Castillo et al. 2006)
3476
3477 #Statistical distributions of manure (see Figure A8.?)
3478 cur = rnorm(2000000, 37.5, 6.25) #creates distribution of baseline emissions based on CoC (2005)
3479 imp = rnorm(2000000, 33, 3.5) #creates theoretical distribution of improved practice
3480
3481 #Function for calculating manure production
3482 NH3Manure = function(cur=cur, imp=imp, N=Nbase){
3483     cur.ran = sample(cur, ndairies)*0.01
3484     imp.ran = sample(imp, ndairies)*0.01
3485     totalcurrent = sum(cur.ran*(N/ndairies))
3486     totalimproved = sum(imp.ran*(N/ndairies))
3487     list(totalcurrent, totalimproved)}
3488
3489 out1 = replicate(10000, NH3Manure(cur=cur, imp=imp, N=redN))#Function repeated 10000 times
3490 current = unlist(out1[1,])
3491 improved = unlist(out1[2,])
3492
3493 #Function to create summary statistics
3494 summary = function(data){
3495     x = mean(data)
3496     y = sd(data)
3497     z = range(data)
3498     list(x, y, z)}
3499
3500 #Evaluating summary statistics for current and improved
3501 out2c = summary(current)
3502 out2i = summary(improved)
3503
3504 #Organizes results
3505 outFinal = round(cbind(unlist(out2c), unlist(out2i)), 2)
3506 rownames(outFinal) = c("mean", "sd", "minimum", "maximum")
3507 colnames(outFinal) = c("current", "improved")
```

```
3508 out.range = c((outFinal[3,1]-outFinal[4,2]), (outFinal[4,1]- outFinal[3,2]))
3509
3510 #Plots distributions of current and improved
3511 par(mfrow=c(1,1),mai=c(1,1,1,1), cex.lab=1.5, cex.axis=1.1)
3512 plot(range(5, 70), range(0,0.12), type="n", xlab="Emissions rate (% of N excreted)", ylab="Probability",
3513       lwd=2, axes=FALSE)
3514 lines(density(cur), col="red", lwd=2)
3515 lines(density(imp), col="blue", lwd=2)
3516 axis(1, c(10, 20, 30, 40, 50, 60, 70), tck=.015)
3517 axis(2, c(0.00, 0.02, 0.04, 0.06, 0.08, 0.10, 0.12), tck=.015)
3518 box(bty="o", lwd=1.5)
3519 legend("topright", legend=c("with current practice", "with improved practice"), lty=1, col=c("red",
3520 "blue"), bty="n")
```

3521 **Table A7.1. Resources describing technical options to control the nitrogen cycle from agricultural and**  
 3522 **non-agricultural sources.** [\[Navigate back to text\]](#)

3523

Source activity	References
Fuel combustion	US EPA (1999), Pereira and Amiridis (1995), Skalska et al. (2010)
Wastewater treatment plants	EPA (2008), Metcalf and Eddy (2003)
Onsite wastewater management	Leverenz and Tchobanoglous (2007)
Agriculture	Dzurella et al. (2012), Eagle et al. (2012), Hristov et al. (2011), (2004)

3524

3525 **Table A7.2. Major nitrogen cycling processes.** [\[Navigate back to text\]](#)

3526

<b>Process</b>	<b>Description</b>	<b>Controlling factors</b>
Mineralization	Conversion of organic N in soil, crop residues or manure into inorganic forms	Temperature, water content
Nitrification	Two step conversion of $\text{NH}_4$ to $\text{NO}_3$ via $\text{NO}_2$	Temperature (< 50 degrees nearly stops), water content, oxygen
Immobilization	Conversion of inorganic N to organic N. Occurs when microorganism decompose materials with high C/N ratio. Decreases plant available N.	Carbon
Volatilization	Release of $\text{NH}_3$ in gaseous form to the atmosphere.	pH, temperature, wind speed
Denitrification	Bacteria convert $\text{NO}_3$ to $\text{N}_2$ gas. Use $\text{NO}_3$ instead of oxygen in metabolic processes in low oxygen environment	Oxygen, temperature, water filled pore space, carbon
Leaching	Downward percolation of $\text{NO}_3$ through soil profile. Physical event where soluble $\text{NO}_3$ moves by mass flow with drainage water	Soil water content, hydraulic conductivity, soil texture

3527

3528

3529 **Table A7.3. Strategies to control the release of N into the environment.** Source: Adapted from INC  
 3530 (2011). [\[Navigate back to text\]](#)

3531

<b>Control strategy</b>	<b>Advantages</b>	<b>Limitations</b>	<b>Current applications</b>
Improved practice and conservation	Decreases one or more emissions	Education costs, slow adoption, may increase other emission pathways	Tightly coupled water and nitrogen management in cropping systems
Product substitution	Decreases demand for N	Technological concerns, social acceptability	Use of biosolids and urban green wastes on croplands
Transformation	Reduces emissions	May increase other N emissions	Use of biological nitrification/denitification at wastewater treatment plant (tertiary treatment)
Source limitation	Reduces emissions	Requires large changes in societal behavior	Use of carpooling and high occupancy vehicle lanes
Removal	Reduces impacts	Costly, dealing with byproduct of removal is problematic	Treatment of NO <sub>3</sub> contaminated drinking water, selective catalytic reduction in stationary fuel combustion sources
Improved efficiency	Increased output per unit of N, may reduce need if output remains constant	Usually entails significant costs to implement	Feed management in dairy systems

3532  
 3533

3534 **Table B7.1. Emissions factors and agricultural sources used in calculations.** [\[Navigate back to text\]](#)

3535

Emission	Emissions factors and sources			
	CA-specific	Source	Global	Source
NH <sub>3</sub> from manure <sup>1</sup>	35% [20, 50]	Chang et al. (2005)		
N <sub>2</sub> O from manure			2.0%	IPCC (2007)
NH <sub>3</sub> from fertilizer	3.2% ± 2.4	Krauter and Blake (2009)		
N <sub>2</sub> O from fertilizer	1.4%	This assessment	1.0%	IPCC (2007)
N <sub>2</sub> O from leguminous crops			1.0%	IPCC (2007)
NO <sub>3</sub> <sup>-</sup> leaching from alfalfa	8.2%	Letey et al. (1979), Putnam et al. (2005)		
NO <sub>3</sub> <sup>-</sup> leaching from croplands	34%	This assessment	30%	IPCC (2007)

<sup>1</sup>Includes emissions from animal production unit and field operations

3536

3537 **Table B7.2. Current and improved partial nutrient balance (PNB) for major California crops.** PNB is the  
 3538 ratio of N in crop material exported from field to the amount of N fertilizer applied.

3539 [\[Navigate back to text\]](#)

Crop	Current PNB (%) <sup>#</sup>	Improved PNB (%) <sup>#</sup>			Sources for improved PNB
		Low	High	Median	
Cotton	61	40	93	66.5	Fritschi et al., (2005)
Potato	55	27	91	59	Meyer and Marcum, (1998)
Rice	75	42	117	79.5	Linguist et al., (2007)
Wheat	56	68	104	86	Ehdaie and Waines (2001)
Almond	49	70	90	80	Brown et al., (unpublished data)
Avocado	19	31	45	38	Lovatt et al. (2001)
Grapes, raisin	45	54	70	62	Peacock et al. (1991)
Grapes, wine	56	36	93	64.5	Smart, (pers. comm.), Christensen et al. (1994)
Lemons	51	52	-	52	Embleton et al. (1981)
Nectarines	22	32	103	67.5	Weinbaum et al. (1992)
Oranges	39	44	-	44	Embleton et al. (1974), Ali and Lovatt (1994)
Peaches, freestone	25	17	63	40	Saenz et al. (1997), Johnson et al. (2001)
Pistachio	56	72	-	72	Rosenstock et al., (2010)
Plums, dried	54	14	54	34	Southwick et al., (1996)
Walnut	52	41	151	96	Richardson and Meyer, (1990)
Broccoli	46	35	42	38.5	LeStrange et al. (1995,1996)
Carrots	27	62	75	68.5	Allaire-Leung et al. (2001)
Celery	36	41	71	56	Hartz et al. (2000)
Lettuce	34	51	-	51	Hartz et al. (2000)
Peppers, bell	18	24	36	30	Hartz et al., (1993)
Strawberry	34	54	55	54.5	Bendixen et al. (1998)
Tomatoes, fresh market	61	61	84	72.5	Hartz et al. (1994)
Tomatoes, processing	64	89	108	98.5	Hartz and Bottoms (2009)
Corn <sup>1</sup>	61	-	-	-	No data available for improved PNB

3540 <sup>1</sup> At the time of writing, there is a prominent lack of available studies showing improved PNB for corn and cereal forages under  
 3541 changing water and nutrient applications.

3542

3543 **Table B7.3. Estimated reductions in NO<sub>x</sub> and PM<sub>2.5</sub> from the implementation of proposed 2007**  
 3544 **measures by CARB (Tonnes year<sup>-1</sup>).** Estimates for the South Coast and San Joaquin Valley are for 2014  
 3545 and for the Sacramento Valley are for 2018. [\[Navigate back to text\]](#)

3546  
 3547

Source activity	NO <sub>x</sub>				Direct PM <sub>2.5</sub>		
	South Coast	San Joaquin Valley	Sacramento Valley	Total	South Coast	San Joaquin Valley	Total
Passenger vehicles <sup>1</sup>	662	0	0	662	-	0	0
Heavy-Duty Trucks <sup>2</sup>	19,766	21,720	3,145	44,631	-	1,424	1,424
Goods Movement Sources <sup>3</sup>	9,635	0	99	9,734	861	-	861
Off-Road Equipment <sup>4</sup>	3,476	1,225	629	5,331	861	265	1,126
<b>Total Projected Emission Reductions</b>	<b>33,540</b>	<b>22,945</b>	<b>3,874</b>	<b>60,358</b>	<b>2,880</b>	<b>1,689</b>	<b>4,569</b>

<sup>1</sup>Smog check improvements

<sup>2</sup>Cleaner in-use heavy duty truck

<sup>3</sup>Ship auxillary engine cold ironing & clean technology; Cleaner main ship engines and fuel; Clean up existing harbor craft

<sup>4</sup>Cleaner in-use off-road equipment (>25 hp)

3548

3549 **Table B7.4. Estimated reductions in N from improved OWTS management (Gg N).** Estimates were done  
 3550 across a range of removal efficiencies and retrofit scenarios to account for variation in system  
 3551 management and the fact that not all OWTS present an environmental risk and need to be replaced. By  
 3552 comparison, raising treatment at WWTP 10% reduces  $N_r$  by 15.9 Gg yr<sup>-1</sup>. [\[Navigate back to text\]](#)

3553

Removal efficiency (%)	Retrofit (% of existing systems)		
	20	40	80
40	1.3	2.6	5.2
60	2.0	4.0	8.0
80	2.7	5.4	10.9

3554